

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

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Skypala and Mark C. Schmidt  
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Title: OBJECT IDENTIFICATION AND ATTRIBUTE  
INFORMATION ACQUISITION AND LINKING  
COMPUTER SYSTEM  
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Group Art Unit : 2876  
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Honorable Commissioner  
of Patents and Trademarks  
Washington, D.C. 20231

**PRELIMINARY AMENDMENT**

Sir:

Prior to examination of the above-referenced Patent Application, please amend the same  
as follows:

**AMENDMENT TO THE SPECIFICATION:**

Please amend the Specification as follows:

**On Page 110**, amend the seventh paragraph as follows:

Figs. [18A and 18B] 18A, 18B-1 and 18B2, taken together, set forth a real-time camera control process that is carried out within the camera control computer employed within the PLIIM-based systems of Fig. 11, wherein the camera control computer automatically processes the received time-stamped data sets and generates real-time camera control signals that drive the focus and zoom lens group translators within a high-speed auto-focus/auto-zoom digital camera subsystem (i.e. the IFD module) so that the camera subsystem automatically captures digital images having (1) square pixels (i.e. 1:1 aspect ratio) independent of package height or velocity,

(2) significantly reduced speckle-noise levels, and (3) constant image resolution measured in dots per inch (DPI) independent of package height or velocity;

**On Page 114,** amend paragraph 4 as follows:

[Fig. 30 is] Figs. 30-1 through 30-4, taken together, set forth a schematic representation of the camera-based object identification and attribute acquisition subsystem of Fig. 27, illustrating the system architecture of the slave units in relation to the master unit, and that (1) the package height, width, and length coordinates data and velocity data elements (computed by the LDIP subsystem within the master unit) are produced by the master unit and defined with respect to the global coordinate reference system, and (2) these package dimension data elements are transmitted to each slave unit on the data communication network, converted into the package height, width, and length coordinates, and used to generate real-time camera control signals which intelligently drive the camera subsystem within each slave unit, and (3) the package identification data elements generated by any one of the slave units are automatically transmitted to the master slave unit for time-stamping, queuing, and processing to ensure accurate package dimension and identification data element linking operations in accordance with the principles of the present invention;

**On Page 115,** amend paragraph 8 as follows:

[Fig. 33C is] Figs. 33C1 and 33C2, taken together, set forth a system block diagram illustrating the system architecture of the bioptical PLIIM-based product dimensioning, analysis and identification system of the first illustrative embodiment shown in Figs. 33A and 33B;

**On Page 137,** amend paragraph 2 as follows:

[Fig. 68 is] Figs. 68-1 through 68-3, taken together, set forth a schematic block system diagram of a first illustrative embodiment of the airport security system of the present invention shown comprising (i) a passenger screening station or subsystem including PLIIM-based passenger facial and body profiling identification subsystem, hand-held PLIIM-based imagers,

and a data element linking and tracking computer, (ii) a baggage screening subsystem including PLIIM-based object identification and attribute acquisition subsystem, a x-ray scanning subsystem, and a neutron-beam explosive detection subsystems (EDS), (iii) a Passenger and Baggage Attribute Relational Database Management Subsystems (RDBMS) for storing co-indexed passenger identity and baggage attribute data elements (i.e. information files), and (iv) automated data processing subsystems for operating on co-indexed passenger and baggage data elements (i.e. information files) stored therein, for the purpose of detecting breaches of security during and after passengers and baggage are checked into an airport terminal system;

**On Page 295,** amend paragraphs 2, 3 and 5 as follows:

As will be described in greater detail hereinafter, the camera control computer 22 controls the auto-focus/auto-zoom digital camera subsystem 3'' in an intelligent manner using the real-time camera control process illustrated in Figs. [18A and 18B] 18A, 18B-1 and 18B2. A particularly important inventive feature of this camera process is that it only needs to operate on one data set at time a time, obtained from the LDIP Subsystem 122, in order to perform its complex array of functions. Referring to Figs. [18A and 18B] 18A, 18B-1 and 18B2, the real-time camera control process of the illustrative embodiment will now be described with reference to the data structures illustrated in Figs. 19 and 20, and the data tables illustrated in Figs. 21 and 23.

#### Real-Time Camera Control Process Of The Present Invention

In the illustrative embodiment, the Real-time Camera Control Process 560 illustrated in Figs. [18A and 18B] 18A, 18B-1 and 18B2 is carried out within the camera control computer 21 of the PLIIM-based system 120 shown in Fig. 9. It is understood, however, that this control process can be carried out within any of the PLIIM-based systems disclosed herein, wherein there is a need to perform automated real-time object detection, dimensioning and identification operations.

As illustrated in Figs. [18A and 18B] 18A, 18B-1 and 18B2, the camera control process of the present invention has multiple control threads that are carried out simultaneously during each data processing cycle (i.e. each time a new data set is received from the Real-Time Package Height Profiling And Edge Detection Processing Module 550 within the LDIP subsystem 122). As illustrated in this flow chart, the data elements contained in each received data set are automatically processed within the camera control computer in the manner described in the flow chart, and at the end of each data set processing cycle, generates real-time camera control signals that drive the zoom and focus lens group translators powered by high-speed motors and quick-response linkage provided within high-speed auto-focus/auto-zoom digital camera subsystem (i.e. the IFD module) 3" so that the camera subsystem 3" automatically captures digital images having (1) square pixels (i.e. 1:1 aspect ratio) independent of package height or velocity, (2) significantly reduced speckle-noise levels, and (3) constant image resolution measured in dots per inch (DPI) independent of package height or velocity. Details of this control process will be described below.

**On Page 297**, amend paragraph 7 as follows:

At Block D in Fig. 18A, at this stage in the control process, the camera control computer 22 analyzes the height values (i.e. coordinates) buffered in the Package Data Buffer, and determines the current "median" height of the package. At this stage of the control process, numerous control "threads" are started, each carrying out a different set of control operations in the process. As indicated in the flow chart of Figs. [18A and 18B] 18A, 18B-1 and 18B2, each control thread can only continue when the necessary parameters involved in its operation have been determined (e.g. computed), and thus the control process along a given control thread must wait until all involved parameters are available before resuming its ultimate operation (e.g. computation of a particular intermediate parameter, or generation of a particular control command), before ultimately returning to the start Block A, at which point the next time-stamped data set is received from the Real-Time Package Height Profiling And Edge Detection Processing Module 550. In the illustrative embodiment, such data set input operations are carried out every 5 milliseconds, and therefore updated camera commands are generated and provided to the auto-focus/auto-zoom camera subsystem at substantially the same rate, to

achieve real-time adaptive camera control performance required by demanding imaging applications.

**On Page 298**, amend paragraphs 1 and 6 as follows:

As indicated at Blocks E, F, G H, I, A in Figs. [18A and 18B] 18A, 18B-1 and 18B2, a first control thread runs from Block D to Block A so as to reposition the focus and zoom lens groups within the auto-focus/auto-zoom digital camera subsystem each time a new data set is received from the Real-Time Package Height Profiling And Edge Detection Processing Module 550.

As indicated at Blocks D, K, L, M in Figs. [18A and 18B] 18A, 18B-1 and 18B2, a second control thread runs from Block D in order to determine and set the optimal photo-integration time period ( $\Delta T_{\text{photo-integration}}$ ) parameter which will ensure that digital images captured by the auto-focus/auto-zoom digital camera subsystem will have pixels of a square geometry (i.e. aspect ratio of 1:1) required by typical image-based bar code symbol decode processors and OCR processors. As indicated at Block K, the camera control computer analyzes the current median height value in the Data Package Buffer, and determines the speed of the package ( $V_b$ ). At Block L, the camera control computer uses the computed values of average (i.e. median) package height, belt speed and Photo-Integration Time Look-Up Table in Fig. 22B, to determine the photo-integration time parameter ( $\Delta T_{\text{photo-integration}}$ ) which will ensure that digital images captured by the auto-focus/auto-zoom digital camera subsystem will have pixels of a "square" geometry (i.e. aspect ratio of 1:1).

**On Page 299**, amend paragraph 2 as follows:

Reference will now be made to Fig. 18D and 18E1 and E2 in order to explain the camera line rate compensation operation of the present invention carried out at Block L in [Fig. 18B] Figs. 18B-1 and 18B-2. Notably, the primary purpose of this operation is to automatically compensate for viewing-angle distortion which would otherwise occur in images of object surfaces captured as the object surfaces move past the coplanar PLIB/FOV of PLIIM-based

linear 25' at skewed viewing angles, defined by slope angles  $\theta$  and  $\phi$  in Figs. 18E1 and 18E2, for the cases of top scanning and side scanning, respectively.

**On Page 300,** amend paragraphs 1 and 2 as follows:

In a PLIIM-based linear imaging system, configured above a conveyor belt structure as shown in Fig. 18E1, the Line Rate of the linear image detection array in the camera subsystem will be dynamically adjusted in accordance with the principles of the present invention described above. In this case, the method employed at Block L in [Fig. 18B] Figs. 18B-1 and 18B-2 and detailed in Fig. 18D will provide a high level of compensation for viewing angle distortion presented when imaging (the plane of) a moving object surface disposed skewed at some slope angle  $\theta$  measured relative to the planar surface of the conveyor belt. In this case, the difficulty will should not reside in line-rate compensation, but rather in dynamically focusing the image formation optics of the camera (IFD) subsystem in response to the geometrical characteristics of the top surfaces of packages measured by the LDIP subsystem (i.e. instrument) 122 on a real-time basis. For example, during illumination and imaging operations, a slanted or sloped top surface of a transported box or object must remain in focus under the camera subsystem. To achieve such focusing, the slope of the object's top surface should be within a certain value, across the entire conveyor belt. However, in the top scanning case, if the box is rotated along the direction of travel so that the slope of the top surface thereof is not substantially the same across the conveyor belt (i.e. the height values of the box vary across the width of the conveyor belt), then it will be difficult for the camera subsystem to focus on the entire top surface of the box, across the width of the conveyor belt. In such instances, the LDIP subsystem 122 in system 120 has the option (at Block L in [Fig. 18B] Figs. 18B-1 and 18B-2) of providing only a single height value to the camera control computer 22 (e.g. the average value of the height values of the box measured across the conveyor belt), and for this average value to be used by the camera control computer 22 to adjustably control the camera's zoom and focus characteristics. Alternatively, the LDIP subsystem 122 can transmit to the camera control computer 22, data representative of the actual slope and shape of the top surface of the box, and such data can be used to control the focusing optics of the camera subsystem in a more complicated manner permitted by the image forming optics used in the linear PLIIM-based imaging system.

For the case of side scanning shown in Fig. 18E2, the method of the present invention employed at Block L in [Fig. 18B] Figs. 18B-1 and 18B-2 and detailed in Fig. 18D will provide a high level of compensation for viewing angle distortion which will otherwise occur in images of object surfaces when viewing (the plane of) the moving object surface disposed skewed at some angle  $\phi$  measured relative to the edge of the conveyor belt.

**On Page 301**, amend paragraphs 1, 2, 4 and 5 as follows:

Referring back now to Block M in [Fig. 18B] Figs. 18B-1 and 18B-2, it is noted that the camera control computer 22 generates a digital control signals for the parameters (1) Photo-integration Time Period ( $\Delta T_{\text{photo-integration}}$ ) found in the Photo-Integration Time Look-Up Table set forth in Fig. 1822B, and (2) the Compensated Line Rate parameter computed using the procedure set forth in Fig. 18D. Thereafter, the camera control computer 22 transmits these digital control signals to the CCD image detection array employed in the auto-focus/auto-zoom digital camera subsystem (i.e. the IFD Module). Thereafter, this control thread returns to Block A as indicated in Fig. 18A.

As indicated at Blocks D, N, O, P, R in Figs. [18A and 18B] 18A, 18B-1 and 18B-2, a third control thread runs from Block D in order to determine the pixel indices (i,j) of a selected portion of a captured image which defines the "region of interest" (ROI) on a package bearing package identifying information (e.g. bar code label, textual information, graphics, etc.), and to use these pixel indices (i,j) to produce image cropping control commands which are sent to the image processing computer 21. In turn, these control commands are used by the image processing computer 21 to crop pixels in the ROI of captured images, transferred to image processing computer 21 for image-based bar code symbol decoding and/or OCR-based image processing. This ROI cropping function serves to selectively identify for image processing only those image pixels within the Camera Pixel Buffer of Fig. 20 having pixel indices (i,j) which spatially correspond to the (row, column) indices in the Package Data Buffer of Fig. 19.

At Block O in [Fig. 18B] Figs. 18B-1 and 18B-2, the camera control computer detects the x coordinates of the package boundaries based on the spatially transformed coordinate values of

the left and right package edges (LPE,RPE) buffered in the Package Data Buffer, shown in Fig. 19.

At Block P in [Fig. 18B] Figs. 18B-1 and 18B-2, the camera control computer 22 determines the corresponding pixel indices (i,j) which specifies the portion of the image frame (i.e. a slice of the region of interest), to be effectively cropped from the image to be subsequently captured by the auto-focus/auto-zoom digital camera subsystem 3". This pixel indices specification operation involves using (i) the x coordinates of the detected package boundaries determined at Block O, and (ii) optionally, the subrange of x coordinates bounded within said detected package boundaries, over which maximum range "intensity" data variations have been detected by the module of Fig. 15. By using the x coordinate boundary information specified in item (i) above, the camera control computer 22 can determine which image pixels represent the overall detected package, whereas when using the x coordinate subrange information specified in item (ii) above, the camera control computer 22 can further determine which image pixels represent a bar code symbol label, hand-writing, typing, or other graphical indicia recorded on the surface of the detected package. Such additional information enables the camera control computer 22 to selectively crop only pixels representative of such information content, and inform the image processing computer 21 thereof, on a real-time scanline-by-scanline basis, thereby reducing the computational load on image processing computer 21 by use of such intelligent control operations.

**On Page 302, amend paragraphs 1 and 2 as follows:**

Thereafter, this control thread dwells at Block R in [Fig. 18B] Figs. 18B-1 and 18B-2 until the other control threads terminating at Block Q have been executed, providing the necessary information to complete the operation specified at Block Q, and then proceed to Block R, as shown in [Fig. 18B] Figs. 18B-1 and 18B-2.

As indicated at Block Q in [Fig. 18B] Figs. 18B-1 and 18B-2, the camera control computer uses the package time stamp (nT) contained in the data set being currently processed by the camera control computer, as well as the package velocity ( $V_b$ ) determined at Block K, to determine the "Start Time" of Image Frame Capture (STIC). The reference time is established by the package time stamp (nT). The Start Time when the image frame capture should begin is



measured from the reference time, and is determined by (1) predetermining the distance  $\Delta z$  measured between (i) the local coordinate reference frame embedded in the LDIP subsystem and (ii) the local coordinate reference frame embedded within the auto-focus/auto-zoom camera subsystem, and dividing this predetermined (constant) distance measure by the package velocity ( $V_b$ ). Then at Block R, the camera control computer 22 (i) uses the Start Time of Image Frame Capture determined at Block Q to generate a command for starting image frame capture, and (ii) uses the pixel indices (i,j) determined at Block P to generate commands for cropping the corresponding slice (i.e. section) of the region of interest in the image to be or being captured and buffered in the Image Buffer within the IFD Subsystem (i.e. auto-focus/auto-zoom digital camera subsystem).

**On Page 310, amend paragraph 2 as follows:**

As shown in Fig. 25, unitary system 140 comprises a PLIIM-based camera subsystem 25''' which includes a high-resolution 2D CCD camera subsystem 25'' similar in many ways to the subsystem shown in Figs. 6D1 through 6E3, except that the 2-D CCD camera's 3-D field of view is automatically steered over a large scanning field, as shown in Fig. 6E4, in response to FOV steering control signals automatically generated by the camera control computer 22 as a low-resolution CCD area-type camera (640x640 pixels) 61 determines the x,y position coordinates of bar code labels on scanned packages. As shown in Figs. 5B3, 5C3, 6B3, and 6C3, the components (61A, 61B and 62) associated with low-resolution CCD area-type camera 61 are easily integrated within the system architecture of PLIIM-based camera subsystems. In the illustrative embodiment, low-resolution camera 61 is controlled by a camera control process carried out within the camera control computer 22, by modifying the camera control process illustrated in Figs. [18A and 18B] 18A, 18B-1 and 18B-2. The major difference with this modified camera control process is that it will include subprocesses that generate FOV steering control signals, in addition to zoom and focus control signals, discussed in great detail hereinabove.

**On Page 315, amend paragraph 3 as follows:**

In this illustrative tunnel-type system, only the top PID unit 120 includes an LDIP subsystem 122 for object detection, tracking, velocity-detection and dimensioning/profiling functions, as this PID unit functions as a master PID unit within the tunnel system 570, whereas the side and bottom PID units 120 are not provided with a LDIP subsystem 122 and function as slave PID units. As such, the side and bottom PID units 120' are programmed to receive object dimension data (e.g. height, length and width coordinates) from the master PID unit 120 on a real-time basis, and automatically convert (i.e. transform) these object dimension coordinates into their local coordinate reference frames in order to use the same to dynamically control the zoom and focus parameters of the camera subsystems employed in the tunnel system. This centralized method of object dimensioning offers numerous advantages over prior art systems and will be described in greater detail with reference to Figs. [30] 30-1 through 32B.

**On Page 316**, amend paragraphs 1-3 as follows:

In Figs. [30] 30-1 through 32B, CCD camera-based tunnel system 570 of Fig. 27 is schematically illustrated employing a real-time method of automatic camera zoom and focus control in accordance with the principles of the present invention. As will be described in greater detail below, this real-time method is driven by object coordinate data and involves (i) dimensioning packages in a global coordinate reference system, (ii) producing object (e.g. package) coordinate data referenced to said global coordinate reference system, and (iii) distributing said object coordinate data to local coordinate references frames in the system for conversion of said object coordinate data to local coordinate reference frames and subsequent use automatic camera zoom and focus control operations upon said packages. This method of the present invention will now be described in greater detail below using the four-sided tunnel-based system 570 of Fig. 27, described above.

As shown in [Fig. 30] Figs. 30-1 through 30-4, the four-sided tunnel-type camera-based object identification and attribute acquisition system of Fig. 27 comprises: a single master PID unit 120 embodying a LDIP subsystem 122, mounted above the conveyor belt structure 571; three slave PID units 120', 120' and 120', mounted on the sides and bottom of the conveyor belt; and a high-speed data communications network 572 supporting a network protocol such as, for example, Ethernet protocol, and enabling high-speed packet-type data communications among

the four PID units within the system. As shown, each PID unit is connected to the network communication medium of the network through its network controller 132 (133) in a manner well known in the computer networking arts.

As schematically illustrated in Figs. [30 and] 30-1 through 31, local coordinate reference systems are symbolically embodied within each of the PID units deployed in the tunnel-type system of Fig. 27, namely: local coordinate reference system  $R_{local0}$  symbolically embodied within the master PID unit 120; local coordinate reference system  $R_{local1}$  symbolically embodied within the first side PID unit 120'; local coordinate reference system  $R_{local2}$  symbolically embodied within the second side PID unit 120'; and local coordinate reference system  $R_{local3}$  symbolically embodied within the bottom PID unit 120'. In turn, each of these local coordinate reference systems is "referenced" with respect to a global coordinate reference system  $R_{global}$  symbolically embodied within the conveyor belt structure. Object coordinate information specified (by vectors) in the global coordinate reference system can be readily converted to object coordinate information specified in any local coordinate reference system by way of a homogeneous transformation (HG) constructed for the global and the particular local coordinate reference system. Each homogeneous transformation can be constructed by specifying the point of origin and orientation of the x,y,z axes of the local coordinate reference system with respect to the point of origin and orientation of the x,y,z axes of the global coordinate reference system. Such details on homogeneous transformations are well known in the art.

**On Page 318**, amend paragraph 2 as follows:

In addition, [Fig. 30] Figs. 30-1 through 30-4 illustrates that the LDIP subsystem 122 within the master unit 120 generates (i) package height, width, and length coordinate data and (ii) velocity data, referenced with respect to the global coordinate reference system  $R_{global}$ . These package dimension data elements are transmitted to each slave PID unit 120' on the data communication network, and once received, its camera control computer 22 converts there values into package height, width, and length coordinates referenced to its local coordinate reference system using its preprogrammable homogeneous transformation. The camera control computer 22 in each slave PID unit 120 uses the converted object dimension coordinates to generate real-time camera control signals which automatically drive its camera's automatic zoom

and focus imaging optics in an intelligent, real-time manner in accordance with the principles of the present invention. The “object identification” data elements generated by the slave PID unit are automatically transmitted to the master PID unit 120 for time-stamping, queuing, and processing to ensure accurate object identity and object attribute (e.g. dimension/profile) data element linking operations in accordance with the principles of the present invention.

## REQUIREMENT UNDER 37 C.F.R. 1.121

As required under 37 C.F.R.1.121, and pursuant to the present Amendment, clean versions of the amended paragraphs of the Specification are set forth below.

**On Page 110**, the seventh paragraph should read as follows:

Figs. 18A, 18B-1 and 18B2, taken together, set forth a real-time camera control process that is carried out within the camera control computer employed within the PLIIM-based systems of Fig. 11, wherein the camera control computer automatically processes the received time-stamped data sets and generates real-time camera control signals that drive the focus and zoom lens group translators within a high-speed auto-focus/auto-zoom digital camera subsystem (i.e. the IFD module) so that the camera subsystem automatically captures digital images having (1) square pixels (i.e. 1:1 aspect ratio) independent of package height or velocity, (2) significantly reduced speckle-noise levels, and (3) constant image resolution measured in dots per inch (DPI) independent of package height or velocity;

**On Page 114**, paragraph 4 should read as follows:

Figs. 30-1 through 30-4, taken together, set forth a schematic representation of the camera-based object identification and attribute acquisition subsystem of Fig. 27, illustrating the system architecture of the slave units in relation to the master unit, and that (1) the package height, width, and length coordinates data and velocity data elements (computed by the LDIP subsystem within the master unit) are produced by the master unit and defined with respect to the global coordinate reference system, and (2) these package dimension data elements are transmitted to each slave unit on the data communication network, converted into the package height, width, and length coordinates, and used to generate real-time camera control signals which intelligently drive the camera subsystem within each slave unit, and (3) the package identification data elements generated by any one of the slave units are automatically transmitted to the master slave unit for time-stamping, queuing, and processing to ensure accurate package dimension and identification data element linking operations in accordance with the principles of the present invention;

**On Page 115**, paragraph 8 should read as follows:

Figs. 33C1 and 33C2, taken together, set forth a system block diagram illustrating the system architecture of the bioptical PLIIM-based product dimensioning, analysis and identification system of the first illustrative embodiment shown in Figs. 33A and 33B;

**On Page 137**, paragraph 2 should read as follows:

Figs. 68-1 through 68-3, taken together, set forth a schematic block system diagram of a first illustrative embodiment of the airport security system of the present invention shown comprising (i) a passenger screening station or subsystem including PLIIM-based passenger facial and body profiling identification subsystem, hand-held PLIIM-based imagers, and a data element linking and tracking computer, (ii) a baggage screening subsystem including PLIIM-based object identification and attribute acquisition subsystem, a x-ray scanning subsystem, and a neutron-beam explosive detection subsystems (EDS), (iii) a Passenger and Baggage Attribute Relational Database Management Subsystems (RDBMS) for storing co-indexed passenger identity and baggage attribute data elements (i.e. information files), and (iv) automated data processing subsystems for operating on co-indexed passenger and baggage data elements (i.e. information files) stored therein, for the purpose of detecting breaches of security during and after passengers and baggage are checked into an airport terminal system;

**On Page 295**, paragraphs 2, 3 and 5 should read as follows:

As will be described in greater detail hereinafter, the camera control computer 22 controls the auto-focus/auto-zoom digital camera subsystem 3'' in an intelligent manner using the real-time camera control process illustrated in Figs. 18A, 18B-1 and 18B2. A particularly important inventive feature of this camera process is that it only needs to operate on one data set at time a time, obtained from the LDIP Subsystem 122, in order to perform its complex array of functions. Referring to Figs. 18A, 18B-1 and 18B2, the real-time camera control process of the illustrative

embodiment will now be described with reference to the data structures illustrated in Figs. 19 and 20, and the data tables illustrated in Figs. 21 and 23.

#### Real-Time Camera Control Process Of The Present Invention

In the illustrative embodiment, the Real-time Camera Control Process 560 illustrated in Figs. 18A, 18B-1 and 18B2 is carried out within the camera control computer 21 of the PLIIM-based system 120 shown in Fig. 9. It is understood, however, that this control process can be carried out within any of the PLIIM-based systems disclosed herein, wherein there is a need to perform automated real-time object detection, dimensioning and identification operations.

As illustrated in Figs. 18A, 18B-1 and 18B2, the camera control process of the present invention has multiple control threads that are carried out simultaneously during each data processing cycle (i.e. each time a new data set is received from the Real-Time Package Height Profiling And Edge Detection Processing Module 550 within the LDIP subsystem 122). As illustrated in this flow chart, the data elements contained in each received data set are automatically processed within the camera control computer in the manner described in the flow chart, and at the end of each data set processing cycle, generates real-time camera control signals that drive the zoom and focus lens group translators powered by high-speed motors and quick-response linkage provided within high-speed auto-focus/auto-zoom digital camera subsystem (i.e. the IFD module) 3" so that the camera subsystem 3" automatically captures digital images having (1) square pixels (i.e. 1:1 aspect ratio) independent of package height or velocity, (2) significantly reduced speckle-noise levels, and (3) constant image resolution measured in dots per inch (DPI) independent of package height or velocity. Details of this control process will be described below.

**On Page 297**, paragraph 7 should read as follows:

At Block D in Fig. 18A, at this stage in the control process, the camera control computer 22 analyzes the height values (i.e. coordinates) buffered in the Package Data Buffer, and determines the current "median" height of the package. At this stage of the control process,

numerous control "threads" are started, each carrying out a different set of control operations in the process. As indicated in the flow chart of Figs. 18A, 18B-1 and 18B2, each control thread can only continue when the necessary parameters involved in its operation have been determined (e.g. computed), and thus the control process along a given control thread must wait until all involved parameters are available before resuming its ultimate operation (e.g. computation of a particular intermediate parameter, or generation of a particular control command), before ultimately returning to the start Block A, at which point the next time-stamped data set is received from the Real-Time Package Height Profiling And Edge Detection Processing Module 550. In the illustrative embodiment, such data set input operations are carried out every 5 milliseconds, and therefore updated camera commands are generated and provided to the auto-focus/auto-zoom camera subsystem at substantially the same rate, to achieve real-time adaptive camera control performance required by demanding imaging applications.

**On Page 298**, paragraphs 1 and 6 should read as follows:

As indicated at Blocks E, F, G H, I, A in Figs. 18A, 18B-1 and 18B2, a first control thread runs from Block D to Block A so as to reposition the focus and zoom lens groups within the auto-focus/auto-zoom digital camera subsystem each time a new data set is received from the Real-Time Package Height Profiling And Edge Detection Processing Module 550.

As indicated at Blocks D, K, L, M in Figs. 18A, 18B-1 and 18B2, a second control thread runs from Block D in order to determine and set the optimal photo-integration time period ( $\Delta T_{\text{photo-integration}}$ ) parameter which will ensure that digital images captured by the auto-focus/auto-zoom digital camera subsystem will have pixels of a square geometry (i.e. aspect ratio of 1:1) required by typical image-based bar code symbol decode processors and OCR processors. As indicated at Block K, the camera control computer analyzes the current median height value in the Data Package Buffer, and determines the speed of the package ( $V_b$ ). At Block L, the camera control computer uses the computed values of average (i.e. median) package height, belt speed and Photo-Integration Time Look-Up Table in Fig. 22B, to determine the photo-integration time parameter ( $\Delta T_{\text{photo-integration}}$ ) which will ensure that digital images captured by the auto-focus/auto-zoom digital camera subsystem will have pixels of a "square" geometry (i.e. aspect ratio of 1:1).



**On Page 299**, paragraph 2 should read as follows:

Reference will now be made to Fig. 18D and 18E1 and E2 in order to explain the camera line rate compensation operation of the present invention carried out at Block L in Figs. 18B-1 and 18B-2. Notably, the primary purpose of this operation is to automatically compensate for viewing-angle distortion which would otherwise occur in images of object surfaces captured as the object surfaces move past the coplanar PLIB/FOV of PLIIM-based linear 25' at skewed viewing angles, defined by slope angles  $\theta$  and  $\phi$  in Figs. 18E1 and 18E2, for the cases of top scanning and side scanning, respectively.

**On Page 300**, paragraphs 1 and 2 should read as follows:

In a PLIIM-based linear imaging system, configured above a conveyor belt structure as shown in Fig. 18E1, the Line Rate of the linear image detection array in the camera subsystem will be dynamically adjusted in accordance with the principles of the present invention described above. In this case, the method employed at Block L in Figs. 18B-1 and 18B-2 and detailed in Fig. 18D will provide a high level of compensation for viewing angle distortion presented when imaging (the plane of) a moving object surface disposed skewed at some slope angle  $\theta$  measured relative to the planar surface of the conveyor belt. In this case, the difficulty will should not reside in line-rate compensation, but rather in dynamically focusing the image formation optics of the camera (IFD) subsystem in response to the geometrical characteristics of the top surfaces of packages measured by the LDIP subsystem (i.e. instrument) 122 on a real-time basis. For example, during illumination and imaging operations, a slanted or sloped top surface of a transported box or object must remain in focus under the camera subsystem. To achieve such focusing, the slope of the object's top surface should be within a certain value, across the entire conveyor belt. However, in the top scanning case, if the box is rotated along the direction of travel so that the slope of the top surface thereof is not substantially the same across the conveyor belt (i.e. the height values of the box vary across the width of the conveyor belt), then it will be difficult for the camera subsystem to focus on the entire top surface of the box, across the width of the conveyor belt. In such instances, the LDIP subsystem 122 in system 120 has the

option (at Block L in Figs. 18B-1 and 18B-2) of providing only a single height value to the camera control computer 22 (e.g. the average value of the height values of the box measured across the conveyor belt), and for this average value to be used by the camera control computer 22 to adjustably control the camera's zoom and focus characteristics. Alternatively, the LDIP subsystem 122 can transmit to the camera control computer 22, data representative of the actual slope and shape of the top surface of the box, and such data can be used to control the focusing optics of the camera subsystem in a more complicated manner permitted by the image forming optics used in the linear PLIIM-based imaging system.

For the case of side scanning shown in Fig. 18E2, the method of the present invention employed at Block L in Figs. 18B-1 and 18B-2 and detailed in Fig. 18D will provide a high level of compensation for viewing angle distortion which will otherwise occur in images of object surfaces when viewing (the plane of) the moving object surface disposed skewed at some angle  $\phi$  measured relative to the edge of the conveyor belt.

**On Page 301**, paragraphs 1, 2, 4 and 5 should read as follows:

Referring back now to Block M in Figs. 18B-1 and 18B-2, it is noted that the camera control computer 22 generates a digital control signals for the parameters (1) Photo-integration Time Period ( $\Delta T_{\text{photo-integration}}$ ) found in the Photo-Integration Time Look-Up Table set forth in Fig. 1822B, and (2) the Compensated Line Rate parameter computed using the procedure set forth in Fig. 18D. Thereafter, the camera control computer 22 transmits these digital control signals to the CCD image detection array employed in the auto-focus/auto-zoom digital camera subsystem (i.e. the IFD Module). Thereafter, this control thread returns to Block A as indicated in Fig. 18A.

As indicated at Blocks D, N, O, P, R in Figs. 18A, 18B-1 and 18B-2, a third control thread runs from Block D in order to determine the pixel indices (i,j) of a selected portion of a captured image which defines the "region of interest" (ROI) on a package bearing package identifying information (e.g. bar code label, textual information, graphics, etc.), and to use these pixel indices (i,j) to produce image cropping control commands which are sent to the image processing computer 21. In turn, these control commands are used by the image processing computer 21 to crop pixels in the ROI of captured images, transferred to image processing

computer 21 for image-based bar code symbol decoding and/or OCR-based image processing. This ROI cropping function serves to selectively identify for image processing only those image pixels within the Camera Pixel Buffer of Fig. 20 having pixel indices (i,j) which spatially correspond to the (row, column) indices in the Package Data Buffer of Fig. 19.

At Block O in Figs. 18B-1 and 18B-2, the camera control computer detects the x coordinates of the package boundaries based on the spatially transformed coordinate values of the left and right package edges (LPE,RPE) buffered in the Package Data Buffer, shown in Fig. 19.

At Block P in Figs. 18B-1 and 18B-2, the camera control computer 22 determines the corresponding pixel indices (i,j) which specifies the portion of the image frame (i.e. a slice of the region of interest), to be effectively cropped from the image to be subsequently captured by the auto-focus/auto-zoom digital camera subsystem 3". This pixel indices specification operation involves using (i) the x coordinates of the detected package boundaries determined at Block O, and (ii) optionally, the subrange of x coordinates bounded within said detected package boundaries, over which maximum range "intensity" data variations have been detected by the module of Fig. 15. By using the x coordinate boundary information specified in item (i) above, the camera control computer 22 can determine which image pixels represent the overall detected package, whereas when using the x coordinate subrange information specified in item (ii) above, the camera control computer 22 can further determine which image pixels represent a bar code symbol label, hand-writing, typing, or other graphical indicia recorded on the surface of the detected package. Such additional information enables the camera control computer 22 to selectively crop only pixels representative of such information content, and inform the image processing computer 21 thereof, on a real-time scanline-by-scanline basis, thereby reducing the computational load on image processing computer 21 by use of such intelligent control operations.

**On Page 302,** paragraphs 1 and 2 should read as follows:

Thereafter, this control thread dwells at Block R in Figs. 18B-1 and 18B-2 until the other control threads terminating at Block Q have been executed, providing the necessary information

to complete the operation specified at Block Q, and then proceed to Block R, as shown in Figs. 18B-1 and 18B-2.

As indicated at Block Q in Figs. 18B-1 and 18B-2, the camera control computer uses the package time stamp ( $nT$ ) contained in the data set being currently processed by the camera control computer, as well as the package velocity ( $V_b$ ) determined at Block K, to determine the "Start Time" of Image Frame Capture (STIC). The reference time is established by the package time stamp ( $nT$ ). The Start Time when the image frame capture should begin is measured from the reference time, and is determined by (1) predetermining the distance  $\Delta z$  measured between (i) the local coordinate reference frame embedded in the LDIP subsystem and (ii) the local coordinate reference frame embedded within the auto-focus/auto-zoom camera subsystem, and dividing this predetermined (constant) distance measure by the package velocity ( $V_b$ ). Then at Block R, the camera control computer 22 (i) uses the Start Time of Image Frame Capture determined at Block Q to generate a command for starting image frame capture, and (ii) uses the pixel indices ( $i,j$ ) determined at Block P to generate commands for cropping the corresponding slice (i.e. section) of the region of interest in the image to be or being captured and buffered in the Image Buffer within the IFD Subsystem (i.e. auto-focus/auto-zoom digital camera subsystem).

**On Page 310, paragraph 2 should read as follows:**

As shown in Fig. 25, unitary system 140 comprises a PLIIM-based camera subsystem 25''' which includes a high-resolution 2D CCD camera subsystem 25'' similar in many ways to the subsystem shown in Figs. 6D1 through 6E3, except that the 2-D CCD camera's 3-D field of view is automatically steered over a large scanning field, as shown in Fig. 6E4, in response to FOV steering control signals automatically generated by the camera control computer 22 as a low-resolution CCD area-type camera (640x640 pixels) 61 determines the x,y position coordinates of bar code labels on scanned packages. As shown in Figs. 5B3, 5C3, 6B3, and 6C3, the components (61A, 61B and 62) associated with low-resolution CCD area-type camera 61 are easily integrated within the system architecture of PLIIM-based camera subsystems. In the illustrative embodiment, low-resolution camera 61 is controlled by a camera control process carried out within the camera control computer 22, by modifying the camera control process

illustrated in Figs. 18A, 18B-1 and 18B-2. The major difference with this modified camera control process is that it will include subprocesses that generate FOV steering control signals, in addition to zoom and focus control signals, discussed in great detail hereinabove.

**On Page 315, paragraph 3 should read as follows:**

In this illustrative tunnel-type system, only the top PID unit 120 includes an LDIP subsystem 122 for object detection, tracking, velocity-detection and dimensioning/profiling functions, as this PID unit functions as a master PID unit within the tunnel system 570, whereas the side and bottom PID units 120 are not provided with a LDIP subsystem 122 and function as slave PID units. As such, the side and bottom PID units 120' are programmed to receive object dimension data (e.g. height, length and width coordinates) from the master PID unit 120 on a real-time basis, and automatically convert (i.e. transform) these object dimension coordinates into their local coordinate reference frames in order to use the same to dynamically control the zoom and focus parameters of the camera subsystems employed in the tunnel system. This centralized method of object dimensioning offers numerous advantages over prior art systems and will be described in greater detail with reference to Figs. 30-1 through 32B.

**On Page 316, paragraphs 1-3 should read as follows:**

In Figs. 30-1 through 32B, CCD camera-based tunnel system 570 of Fig. 27 is schematically illustrated employing a real-time method of automatic camera zoom and focus control in accordance with the principles of the present invention. As will be described in greater detail below, this real-time method is driven by object coordinate data and involves (i) dimensioning packages in a global coordinate reference system, (ii) producing object (e.g. package) coordinate data referenced to said global coordinate reference system, and (iii) distributing said object coordinate data to local coordinate reference frames in the system for conversion of said object coordinate data to local coordinate reference frames and subsequent use automatic camera zoom and focus control operations upon said packages. This method of the present invention will now be described in greater detail below using the four-sided tunnel-based system 570 of Fig. 27, described above.

As shown in Figs. 30-1 through 30-4, the four-sided tunnel-type camera-based object identification and attribute acquisition system of Fig. 27 comprises: a single master PID unit 120 embodying a LDIP subsystem 122, mounted above the conveyor belt structure 571; three slave PID units 120', 120' and 120', mounted on the sides and bottom of the conveyor belt; and a high-speed data communications network 572 supporting a network protocol such as, for example, Ethernet protocol, and enabling high-speed packet-type data communications among the four PID units within the system. As shown, each PID unit is connected to the network communication medium of the network through its network controller 132 (133) in a manner well known in the computer networking arts.

As schematically illustrated in Figs. 30-1 through 31, local coordinate reference systems are symbolically embodied within each of the PID units deployed in the tunnel-type system of Fig. 27, namely: local coordinate reference system  $R_{local0}$  symbolically embodied within the master PID unit 120; local coordinate reference system  $R_{local1}$  symbolically embodied within the first side PID unit 120'; local coordinate reference system  $R_{local2}$  symbolically embodied within the second side PID unit 120'; and local coordinate reference system  $R_{local3}$  symbolically embodied within the bottom PID unit 120'. In turn, each of these local coordinate reference systems is "referenced" with respect to a global coordinate reference system  $R_{global}$  symbolically embodied within the conveyor belt structure. Object coordinate information specified (by vectors) in the global coordinate reference system can be readily converted to object coordinate information specified in any local coordinate reference system by way of a homogeneous transformation (HG) constructed for the global and the particular local coordinate reference system. Each homogeneous transformation can be constructed by specifying the point of origin and orientation of the x,y,z axes of the local coordinate reference system with respect to the point of origin and orientation of the x,y,z axes of the global coordinate reference system. Such details on homogeneous transformations are well known in the art.

**On Page 318, paragraph 2 should read as follows:**

In addition, Figs. 30-1 through 30-4 illustrates that the LDIP subsystem 122 within the master unit 120 generates (i) package height, width, and length coordinate data and (ii) velocity data, referenced with respect to the global coordinate reference system  $R_{global}$ . These package

dimension data elements are transmitted to each slave PID unit 120' on the data communication network, and once received, its camera control computer 22 converts there values into package height, width, and length coordinates referenced to its local coordinate reference system using its preprogrammable homogeneous transformation. The camera control computer 22 in each slave PID unit 120 uses the converted object dimension coordinates to generate real-time camera control signals which automatically drive its camera's automatic zoom and focus imaging optics in an intelligent, real-time manner in accordance with the principles of the present invention. The "object identification" data elements generated by the slave PID unit are automatically transmitted to the master PID unit 120 for time-stamping, queuing, and processing to ensure accurate object identity and object attribute (e.g. dimension/profile) data element linking operations in accordance with the principles of the present invention.

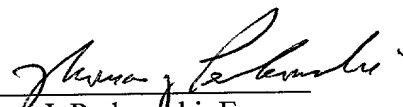
REMARKS

The Amendments to the Specification as well as to the Abstract of Disclosure are provided for the correction of errors of a clerical nature and to ensure correspondence between the Specification and Formal Drawings filed herewith.

The Commissioner is authorized to charge any fee deficiencies to Deposit Account No. 16-1340. A duplicate of this document is enclosed herewith.

Respectfully submitted,

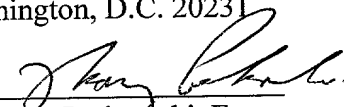
Dated: July 3, 2002

  
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UNDER 37 CFR 1.8

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Commissioner of Patents and  
Trademarks  
Washington, D.C. 20231

  
Thomas J. Perkowski, Esq.

Dated: July 3, 2002



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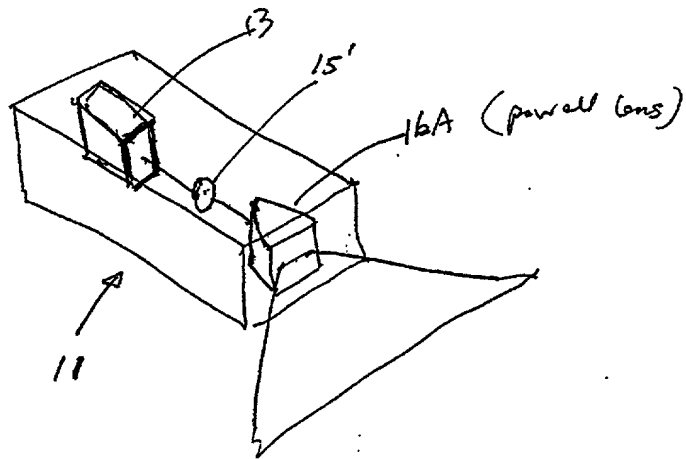


FIG. 1G.16A

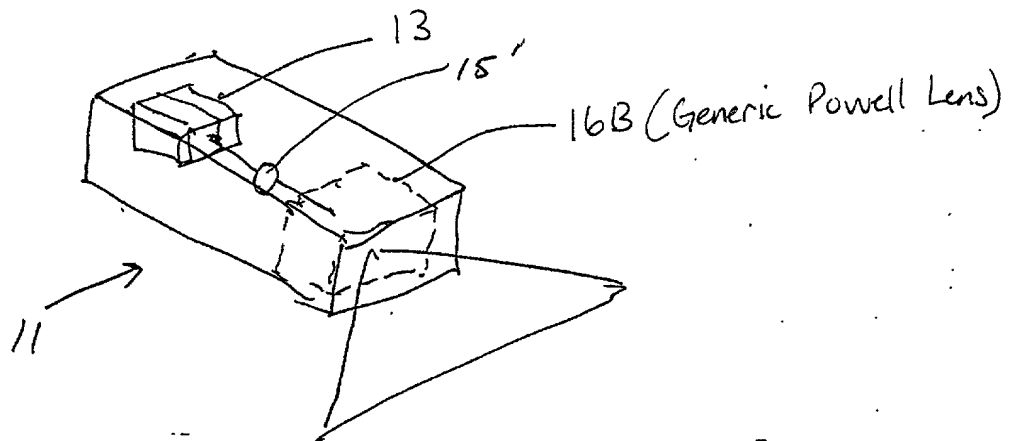
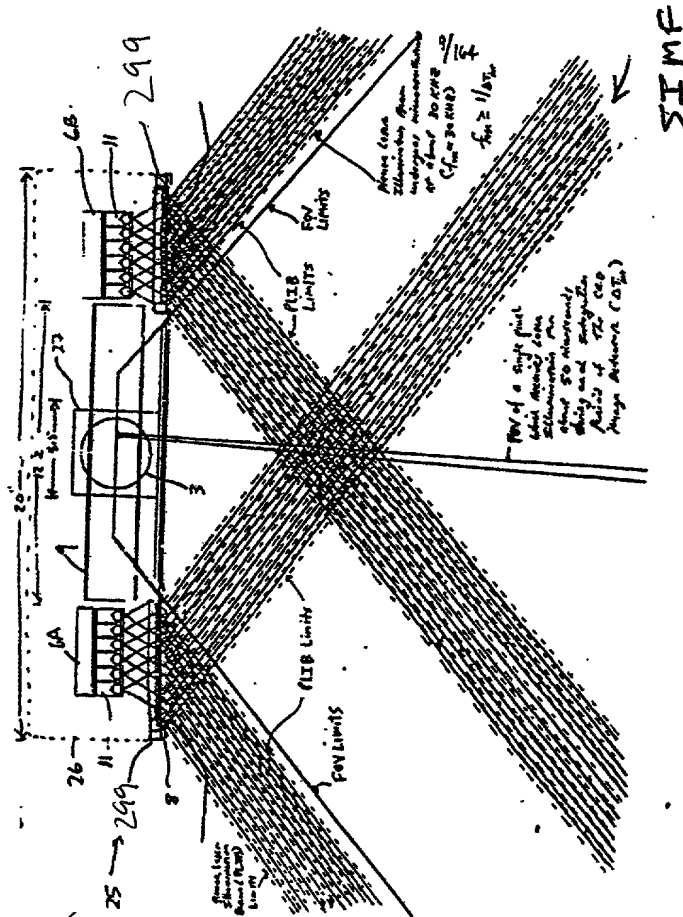


FIG. 1G.16B

PLIM w/  
powell lens

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Prior to object illumination

FIG. 1I 20A

Sixth Generalized Method of  
Reducing Speckle-Noise Patterns  
at Image Detection array  
of the IPD Subsystem

(SIMF)

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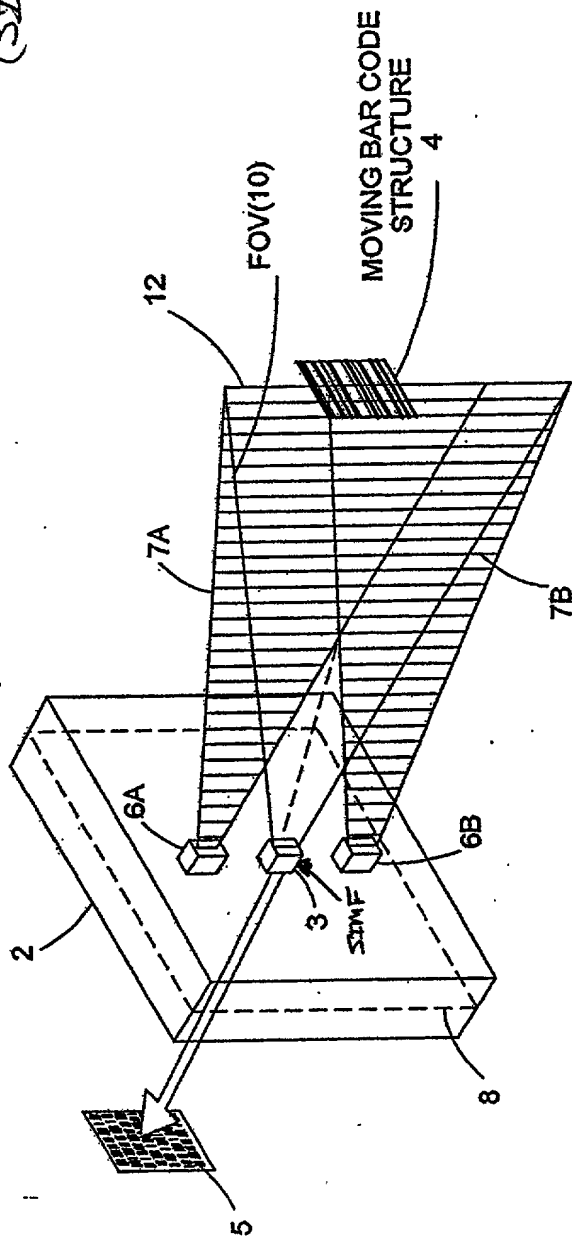


FIG. 1I 22

EIGHTH

7/1/85

EIGHT GENERALIZED METHOD OF REDUCING THE SPECKLE PATTERN  
NOISE OBSERVED IN PLIIM-BASED IMAGING SYSTEMS

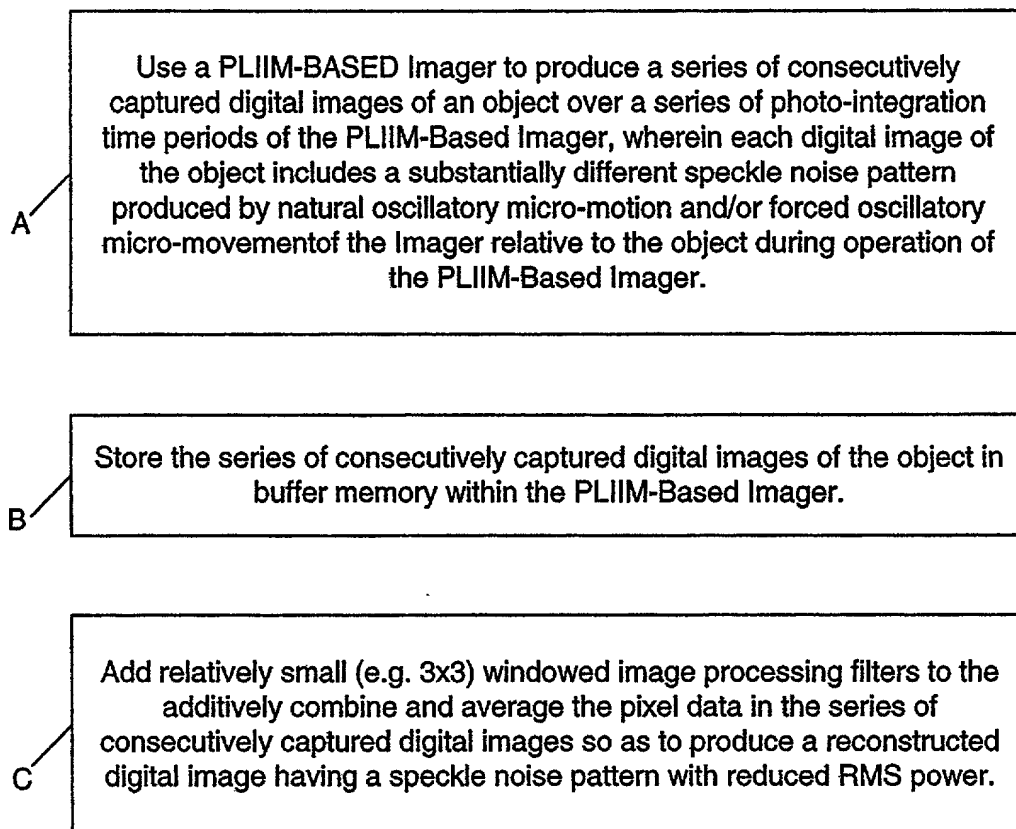
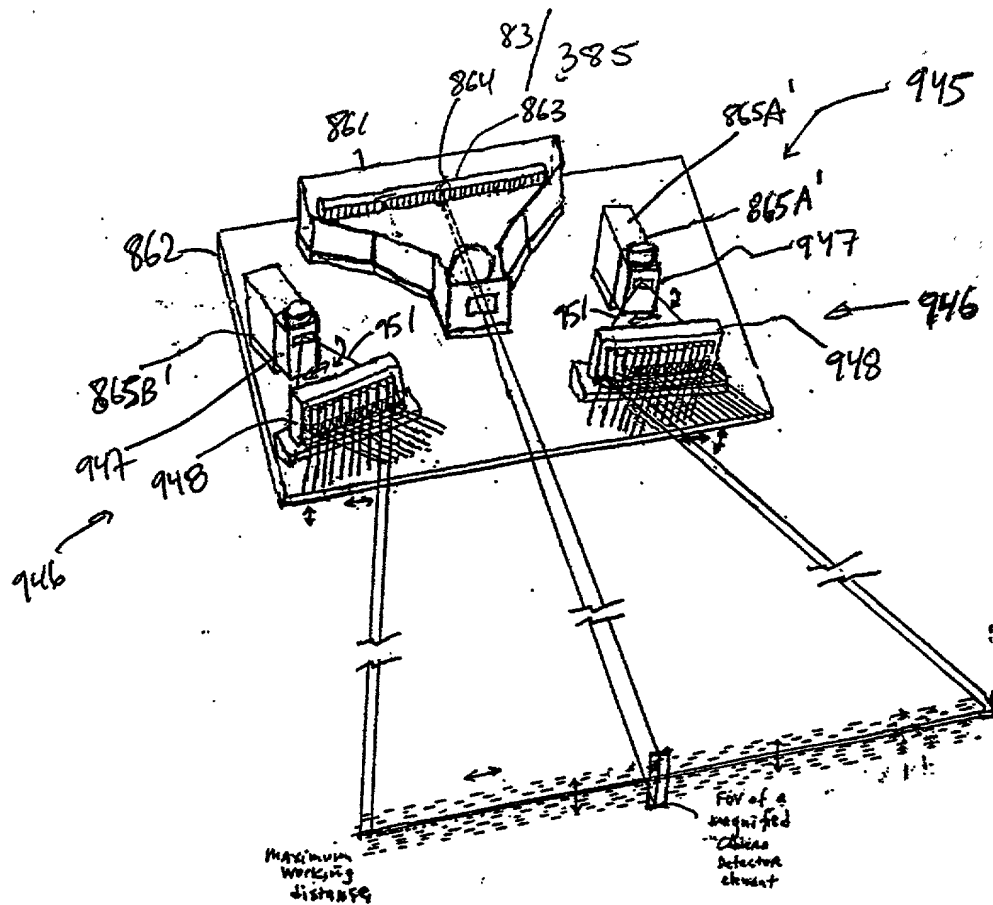


FIG. 1124D



Lateral and Transverse  
Misalignment of PLB

FIG. 1I25I1

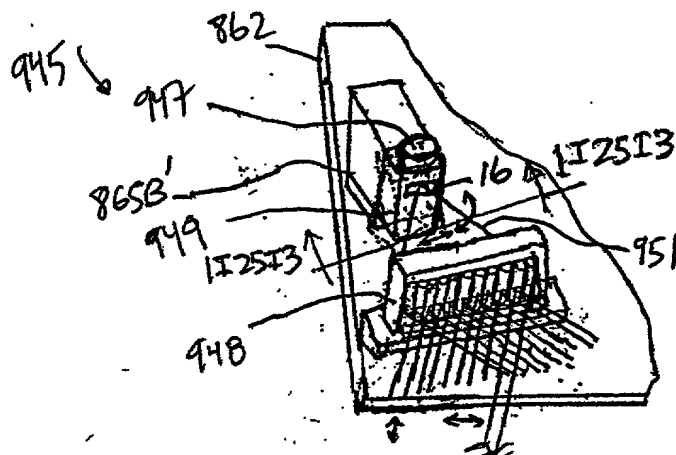


FIG. 1I25I2

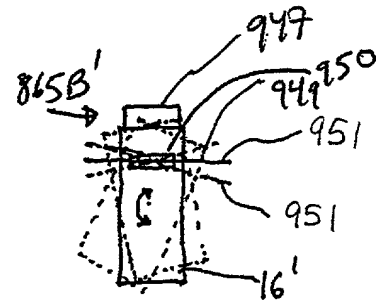


FIG. 1I25I3

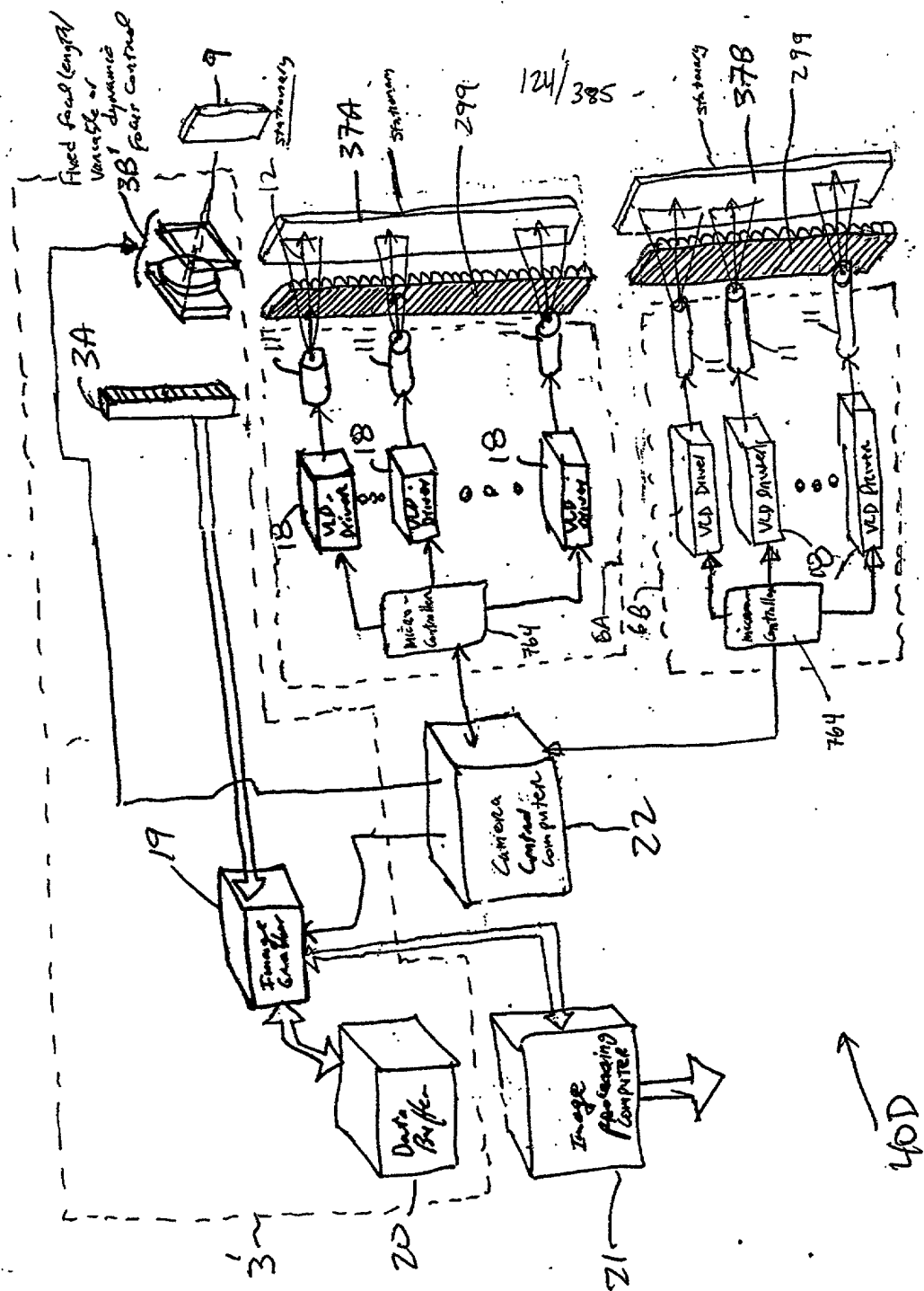


FIG 2F2

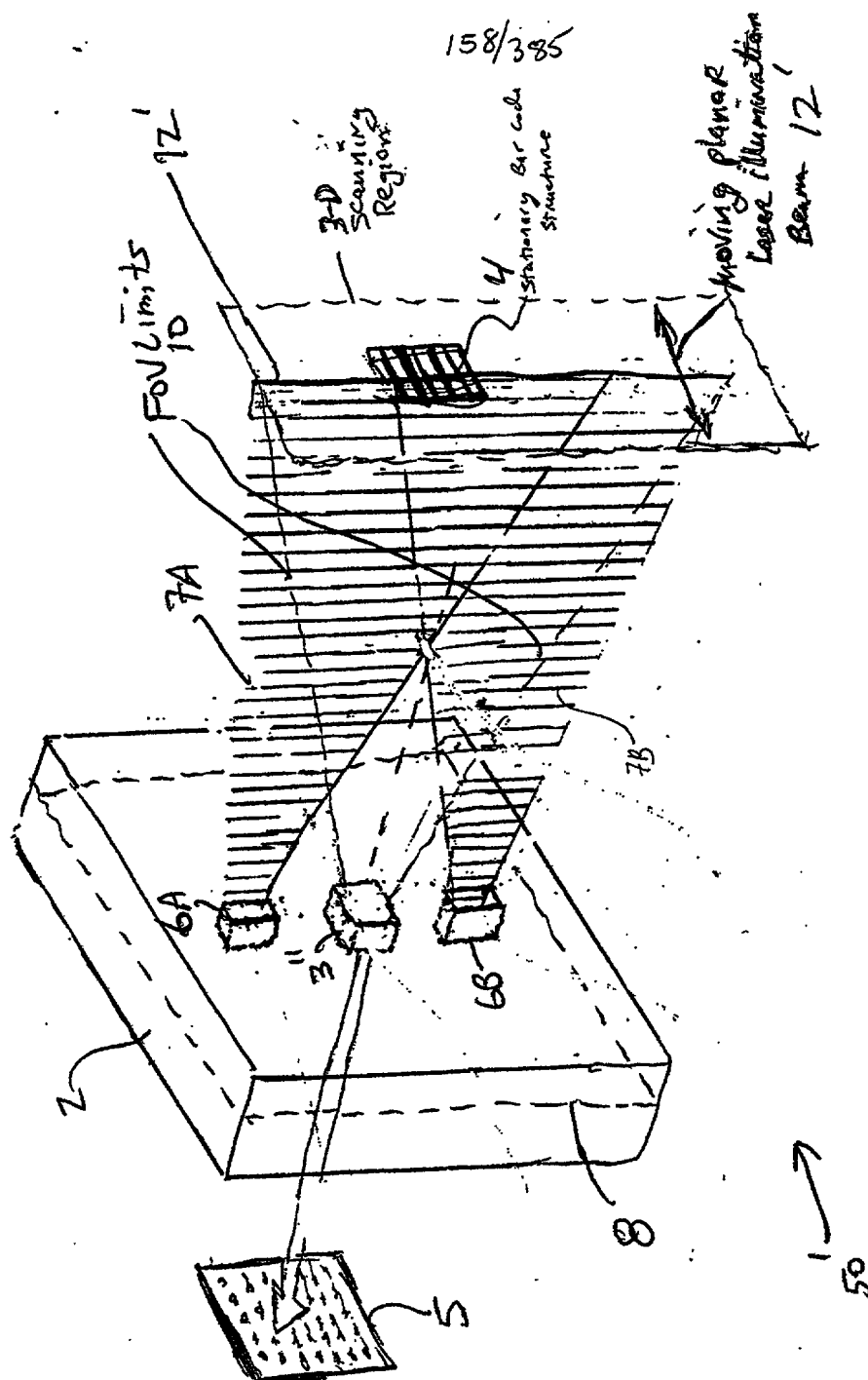
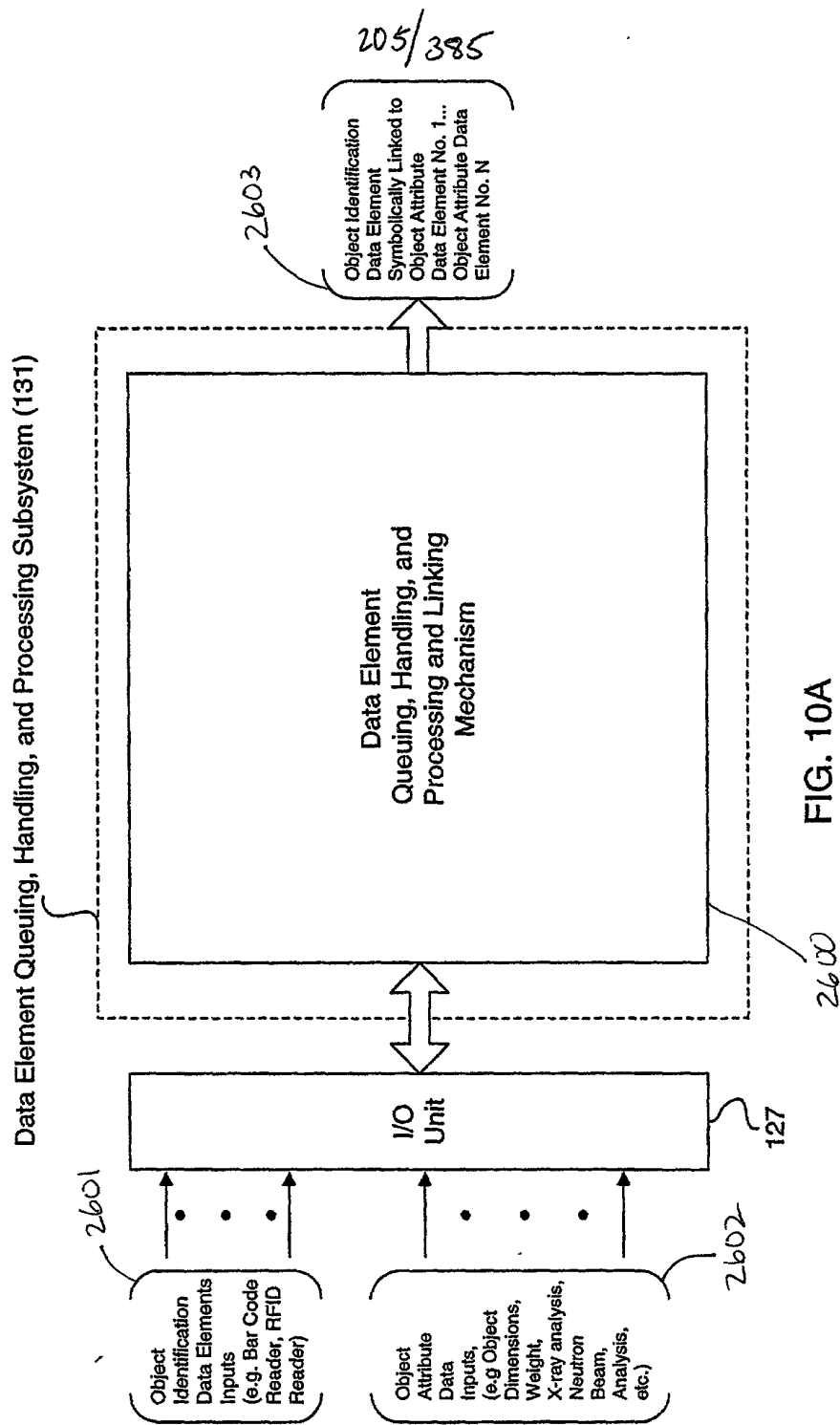


FIG. 3J1





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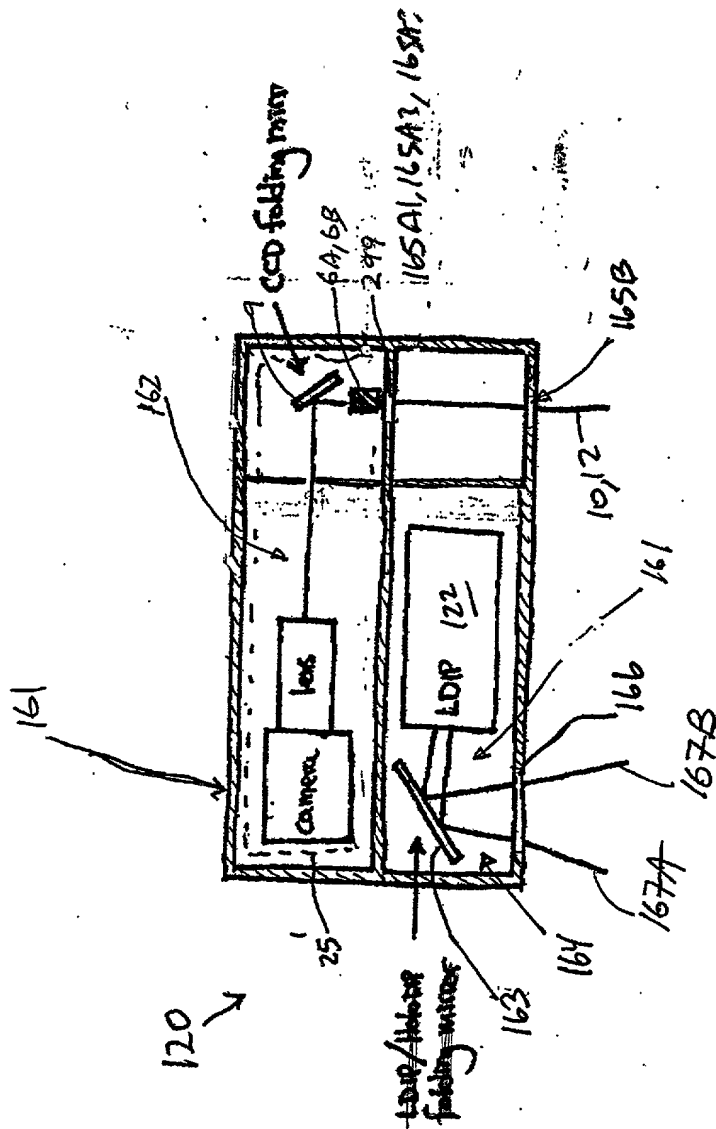


FIG. 12B

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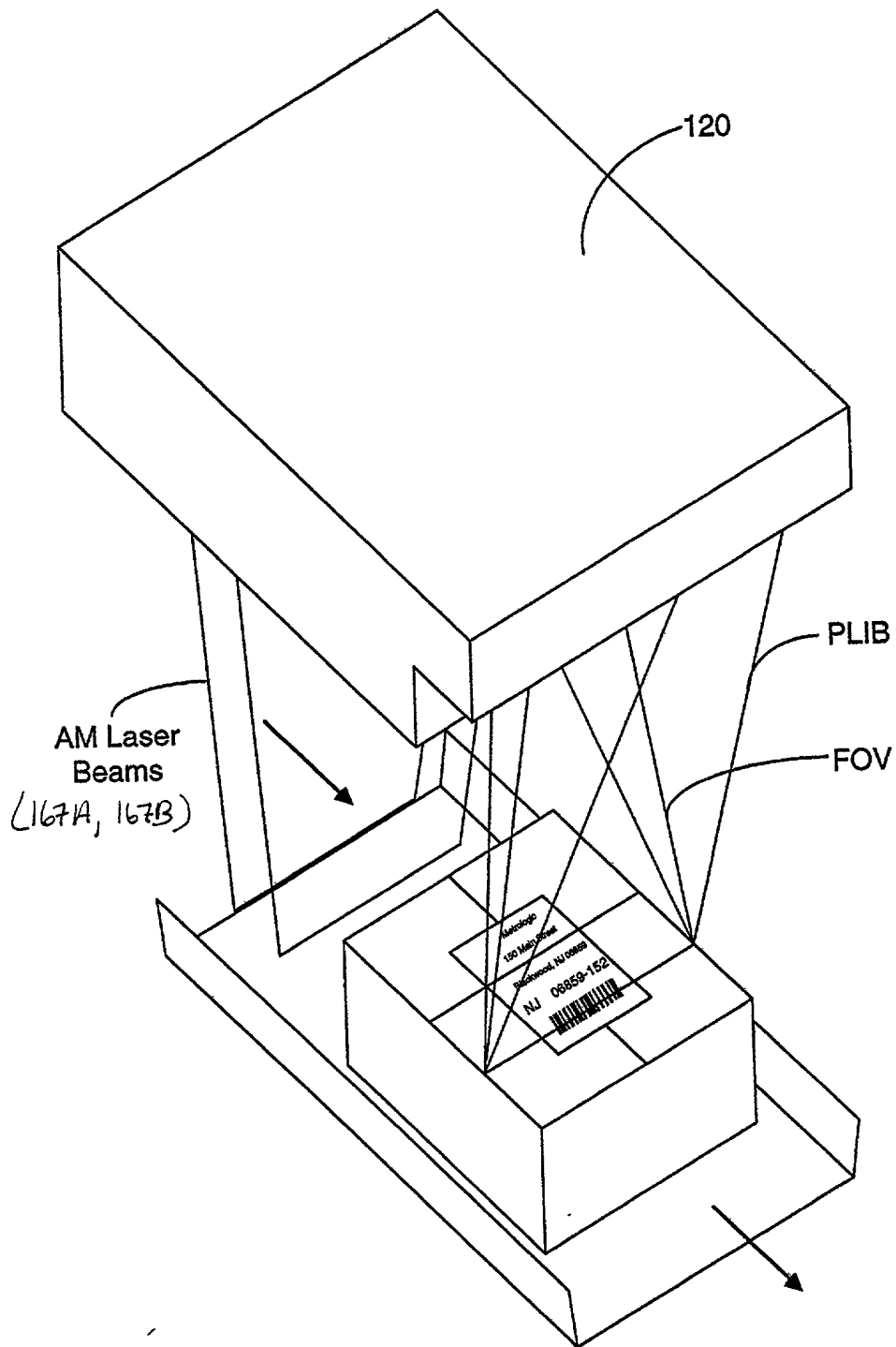
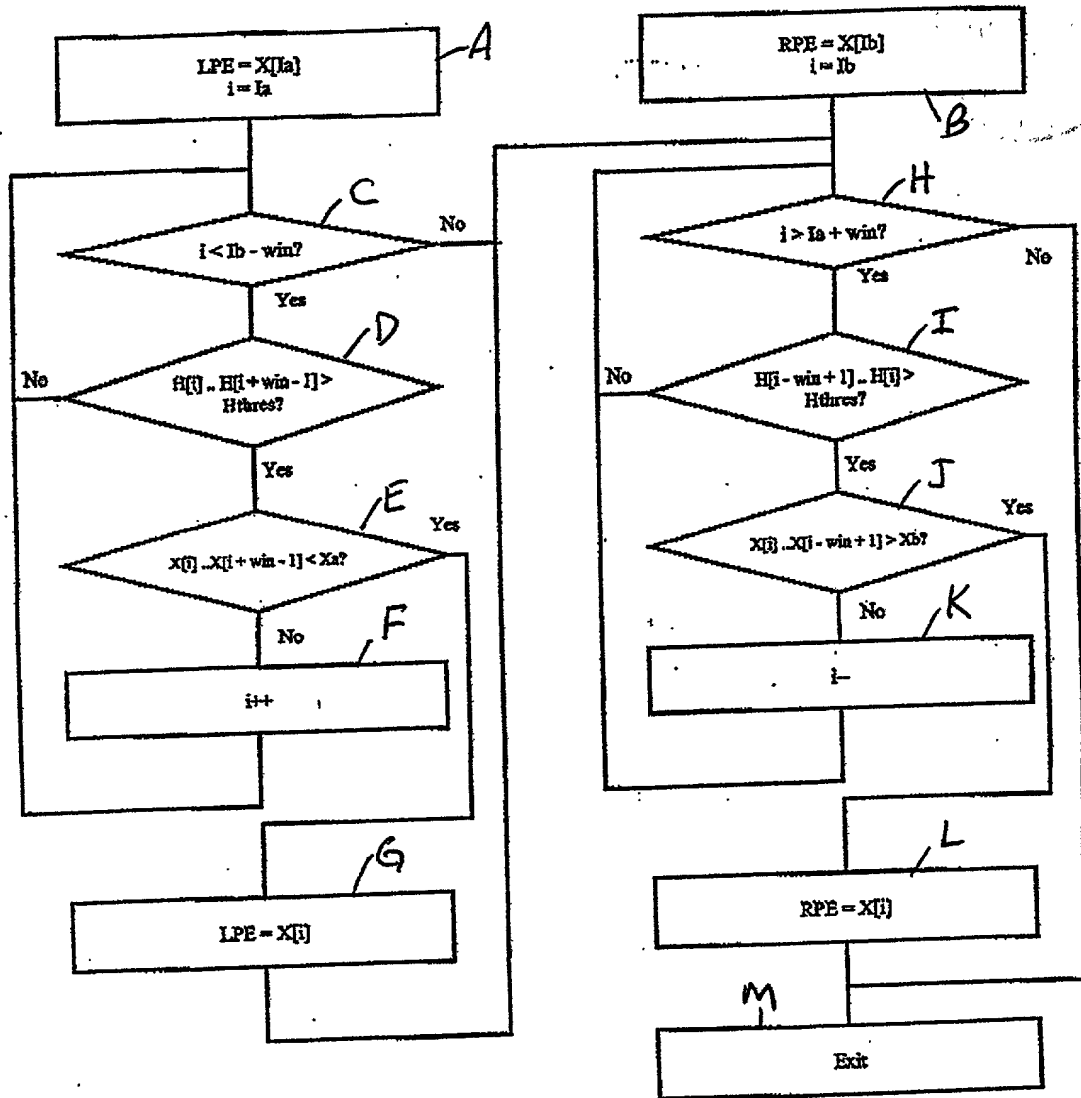


FIG. 13A

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# LDIP Real Time Package Edge Detection



$X_a$  = location of belt left edge;  $X_b$  = location of belt right edge  
 $I_a$  = belt edge edge pixel;  $I_b$  = belt right edge pixel  
 $LPE$  = Left package edge;  $RPE$  = Right package edge  
 $H[i]$  = Pixel height array;  $X[i]$  = Pixel location array  
 $win$  = package detection window

FIG. 16

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at Block F in Fig. 15

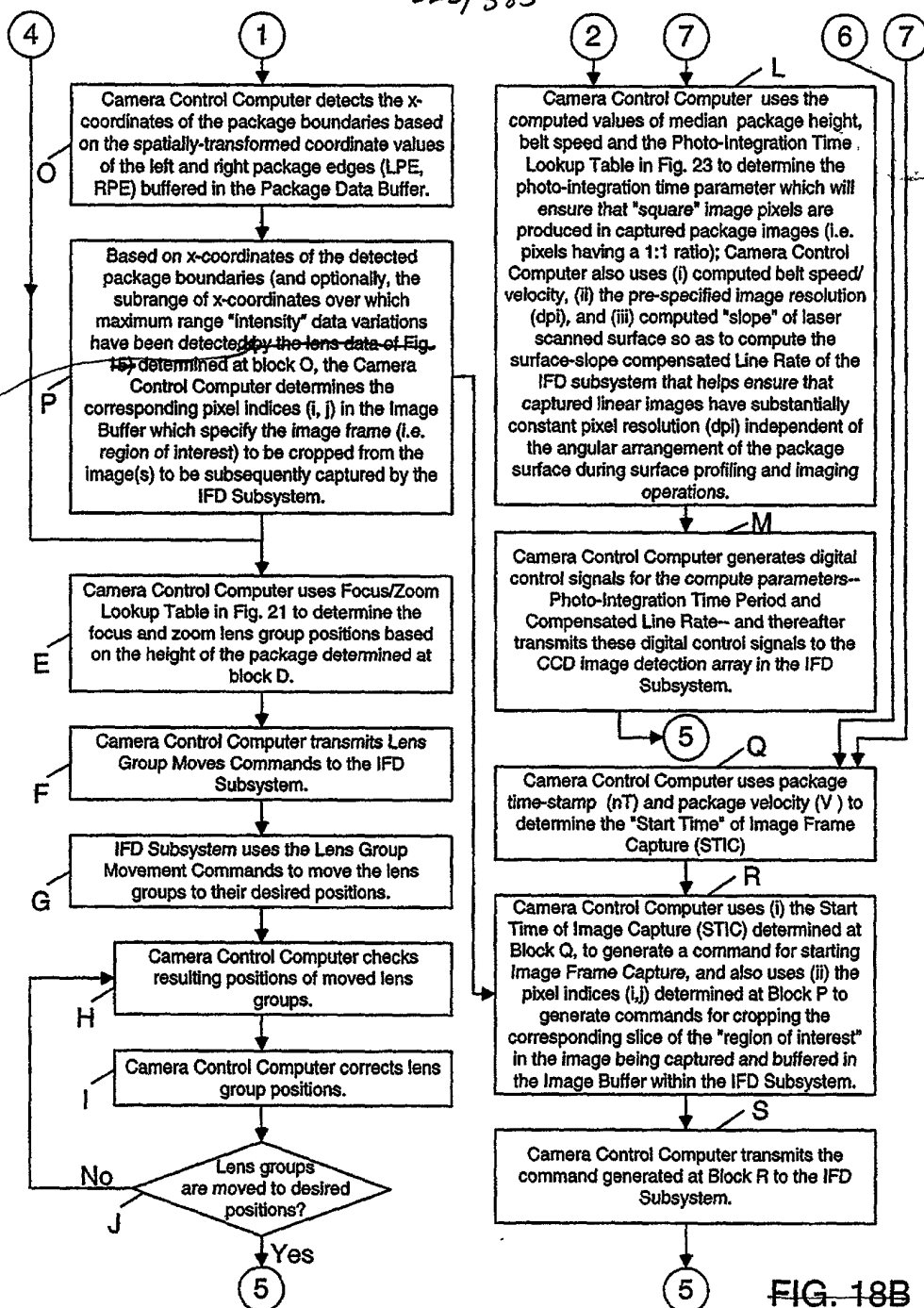


FIG. 18B

FIGS. 18B-1

and 18B-2

224/385

LASER

METHOD OF COMPUTING OPTICAL OUTPUT POWER FROM ~~GASE~~  
DIODES IN PLANAR LASER ILLUMINATION ARRAY (PLIA) FOR  
CONTROLLING CONSTANT WHITE LEVEL IN IMAGE PIXELS CAPTURED  
BY PLIIM-BASED LINEAR IMAGER

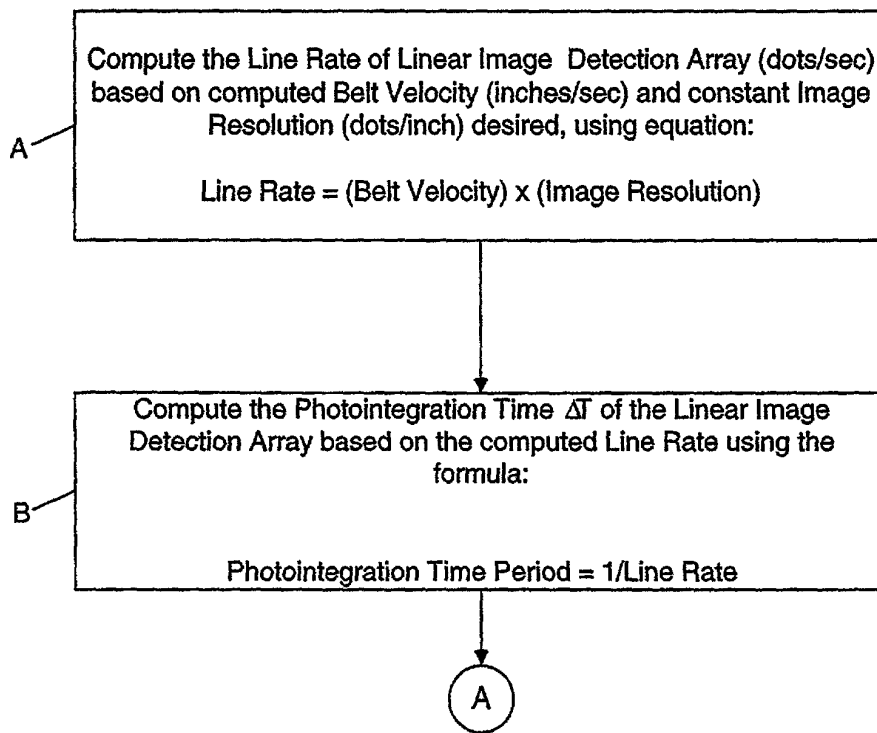


FIG. 18C1

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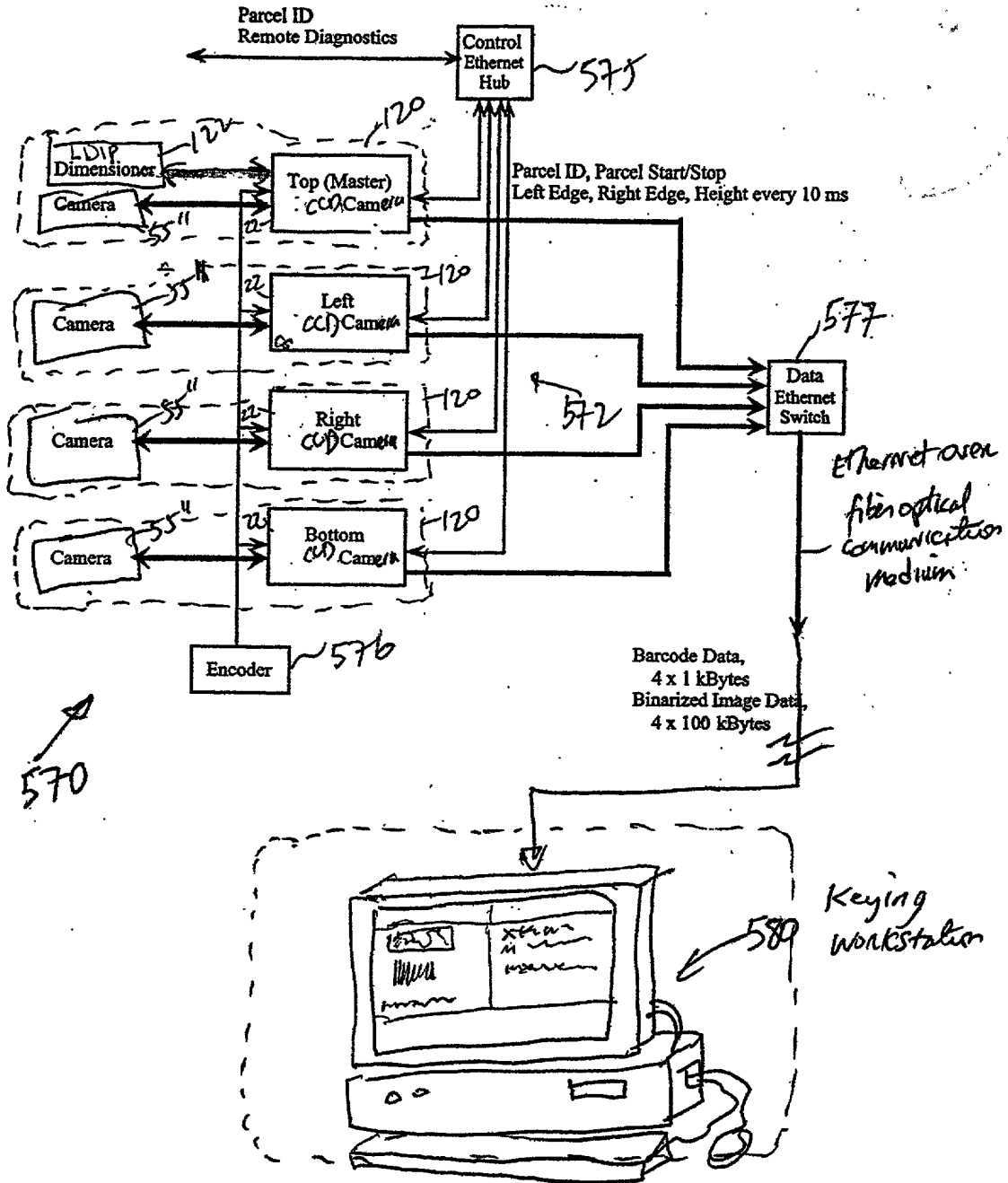


FIG. 29

202T20" 666T6600T

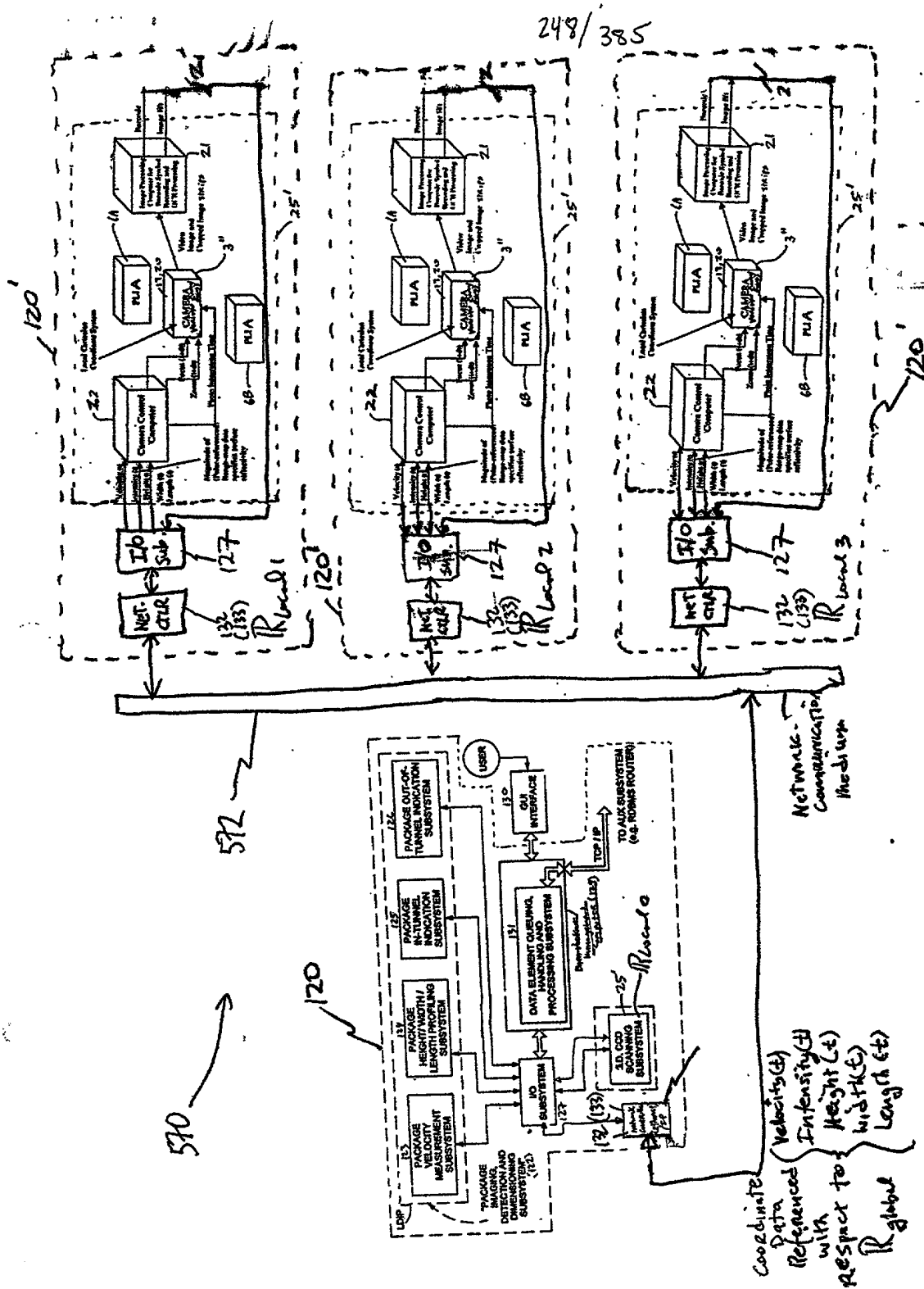
[illegible]

fig 30

FIGS. 30-1, 30-2, 30-3 and 30-4

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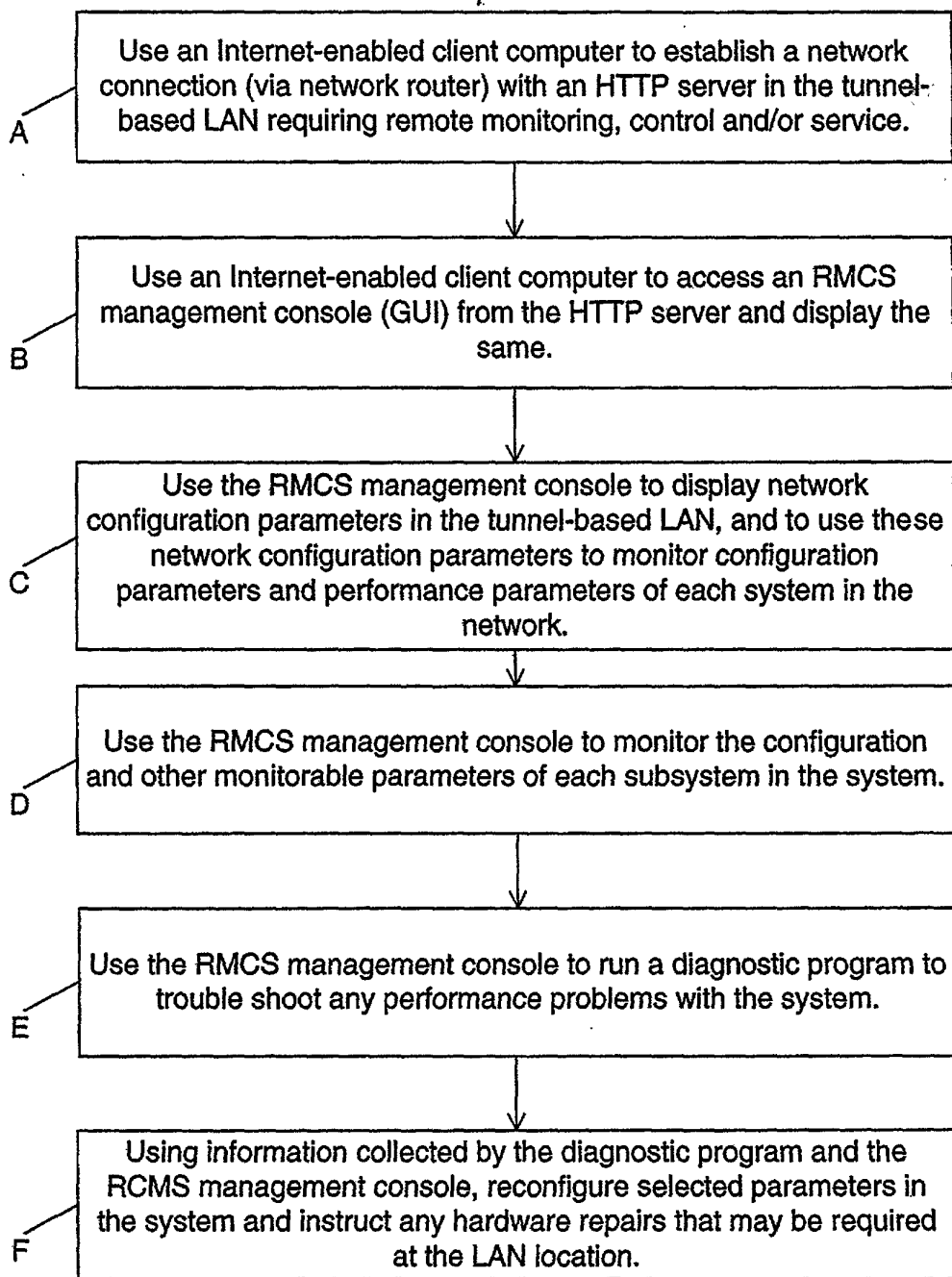
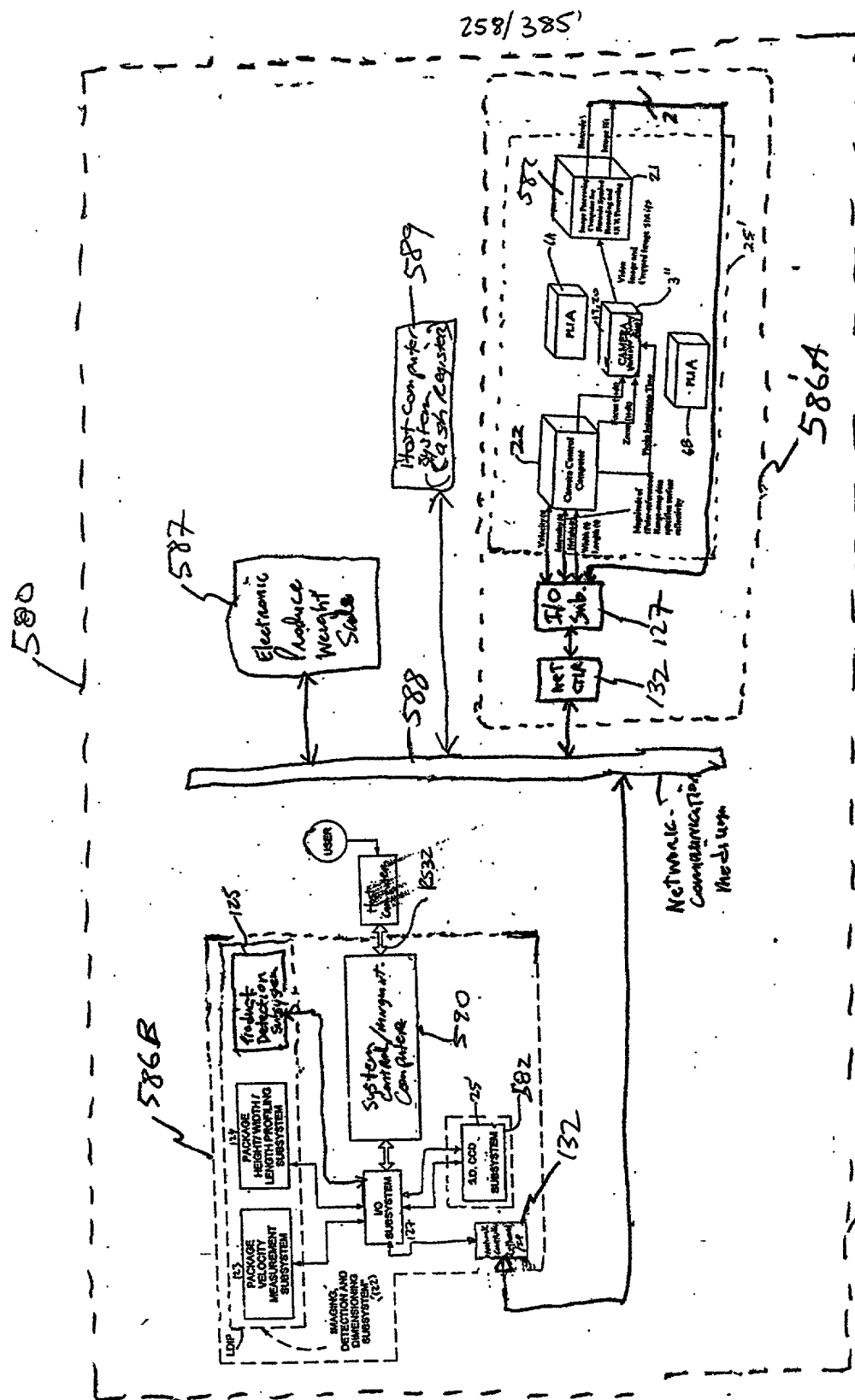


FIG. 30D1





~~FIG. 33C~~  
FIGS. 33C1 and 33C2

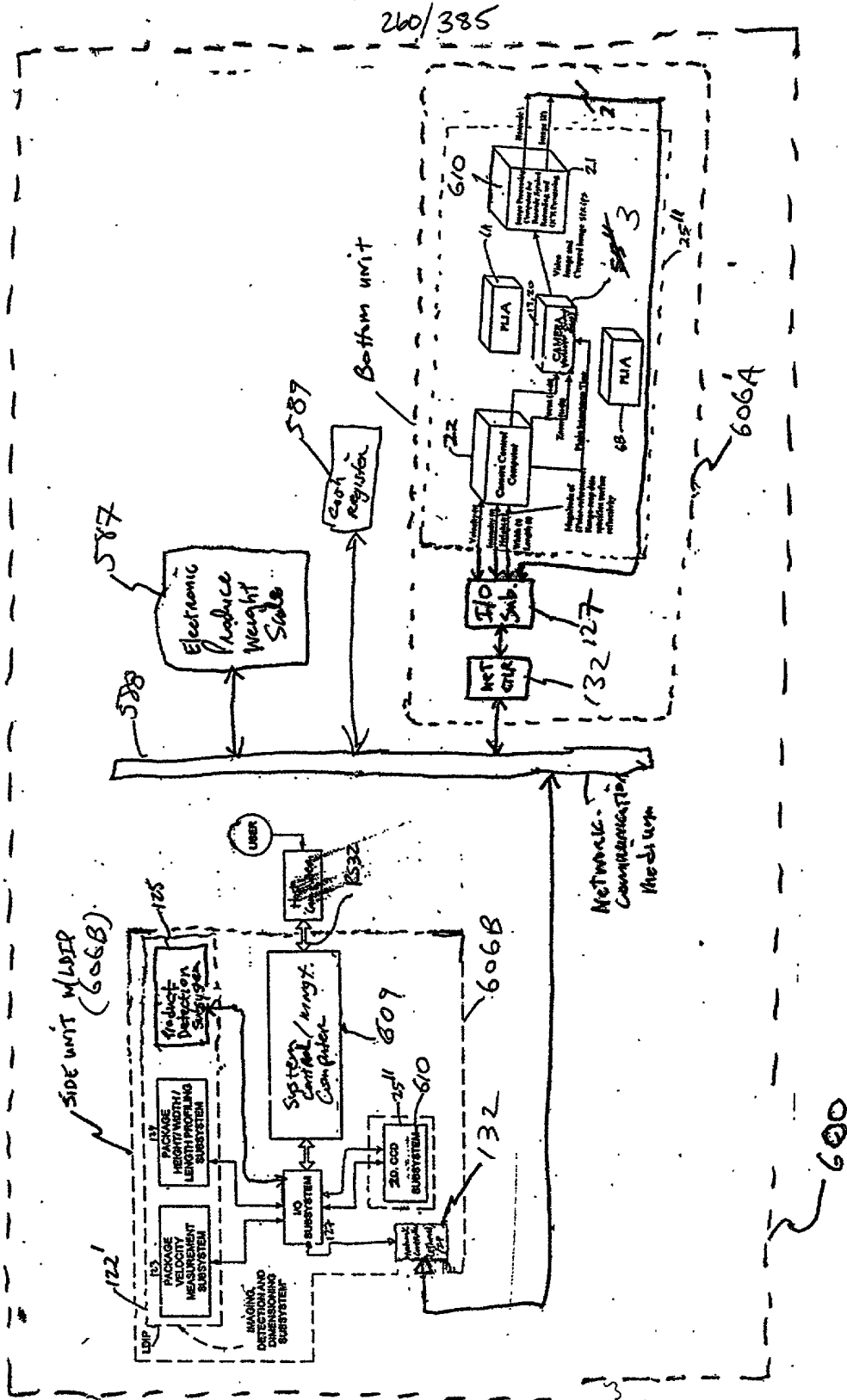


FIG. 34C  
FIGS. 34C1 and 34C2



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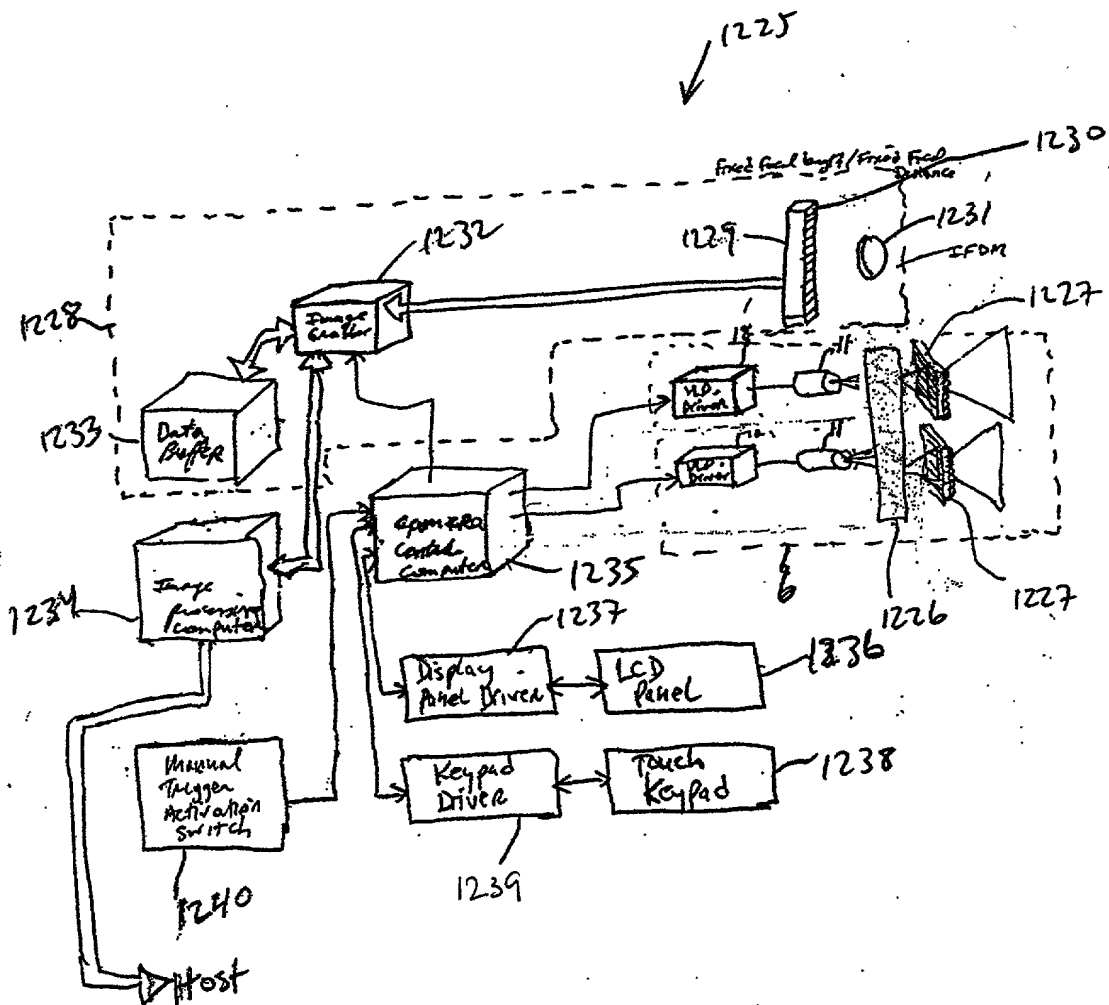
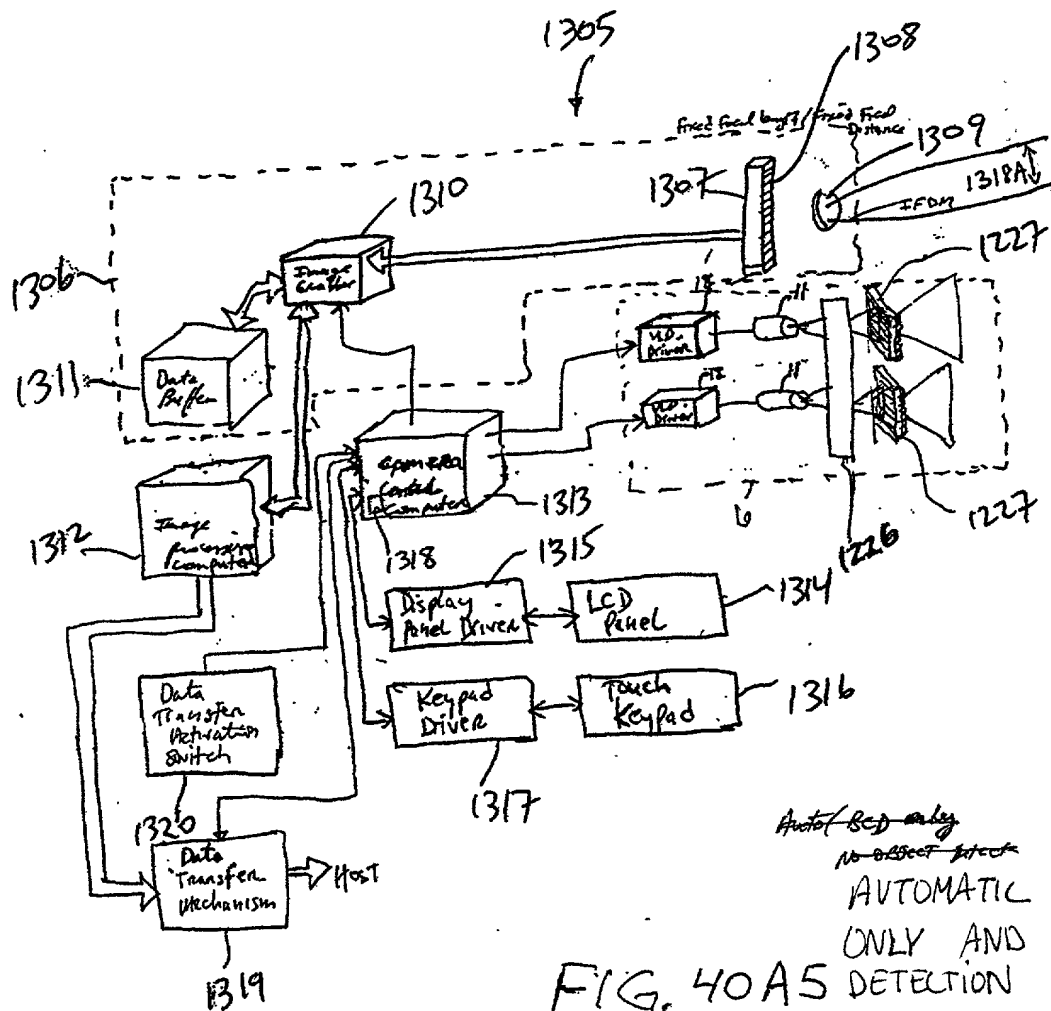


FIG. 40A1



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Auto BCD only

No object detect

AUTOMATIC WITH BCD

ONLY AND NO OBJECT

FIG. 40A5 DETECTION

2021/01/06 10:00:00

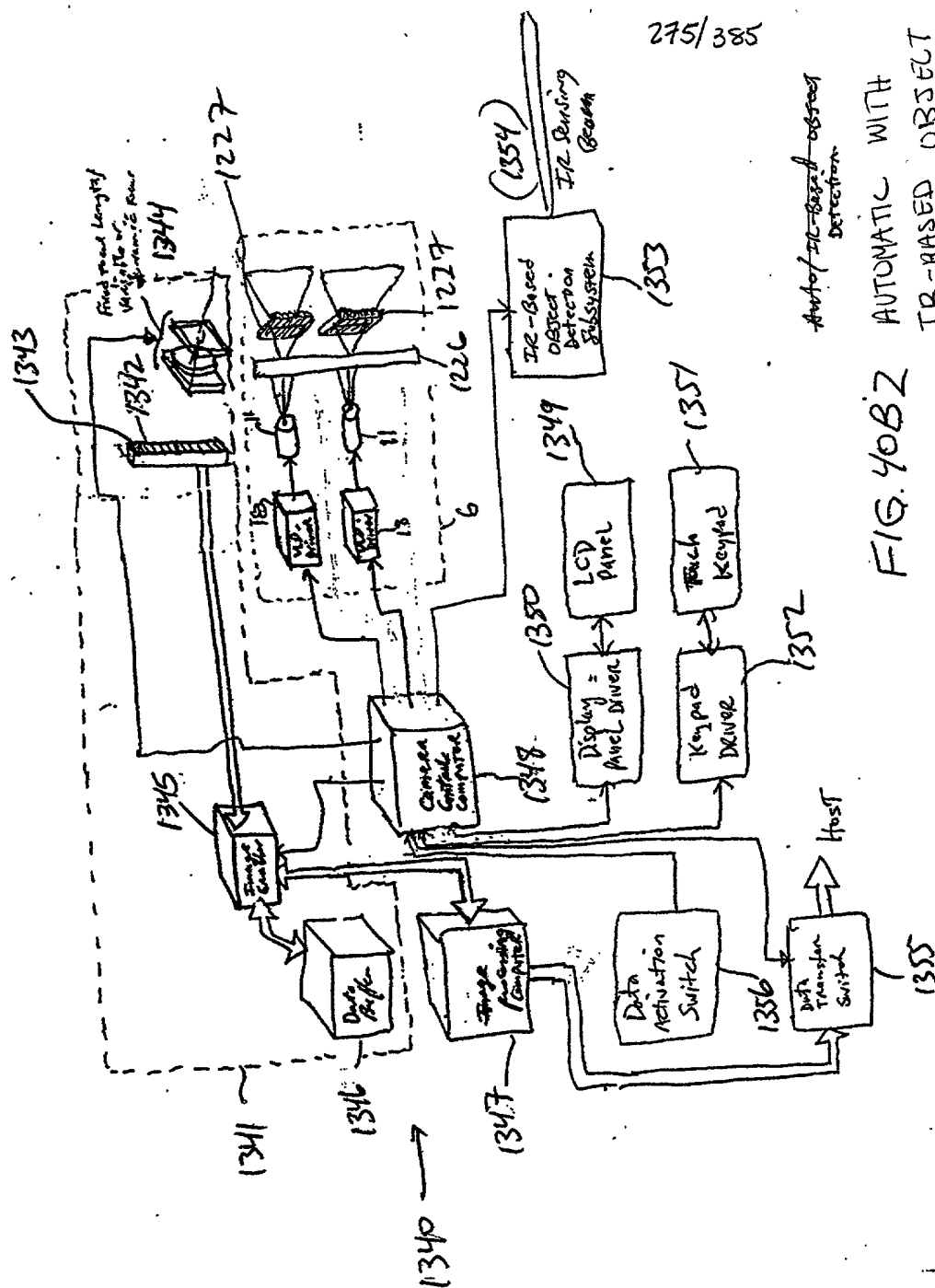


FIG. 40BZ

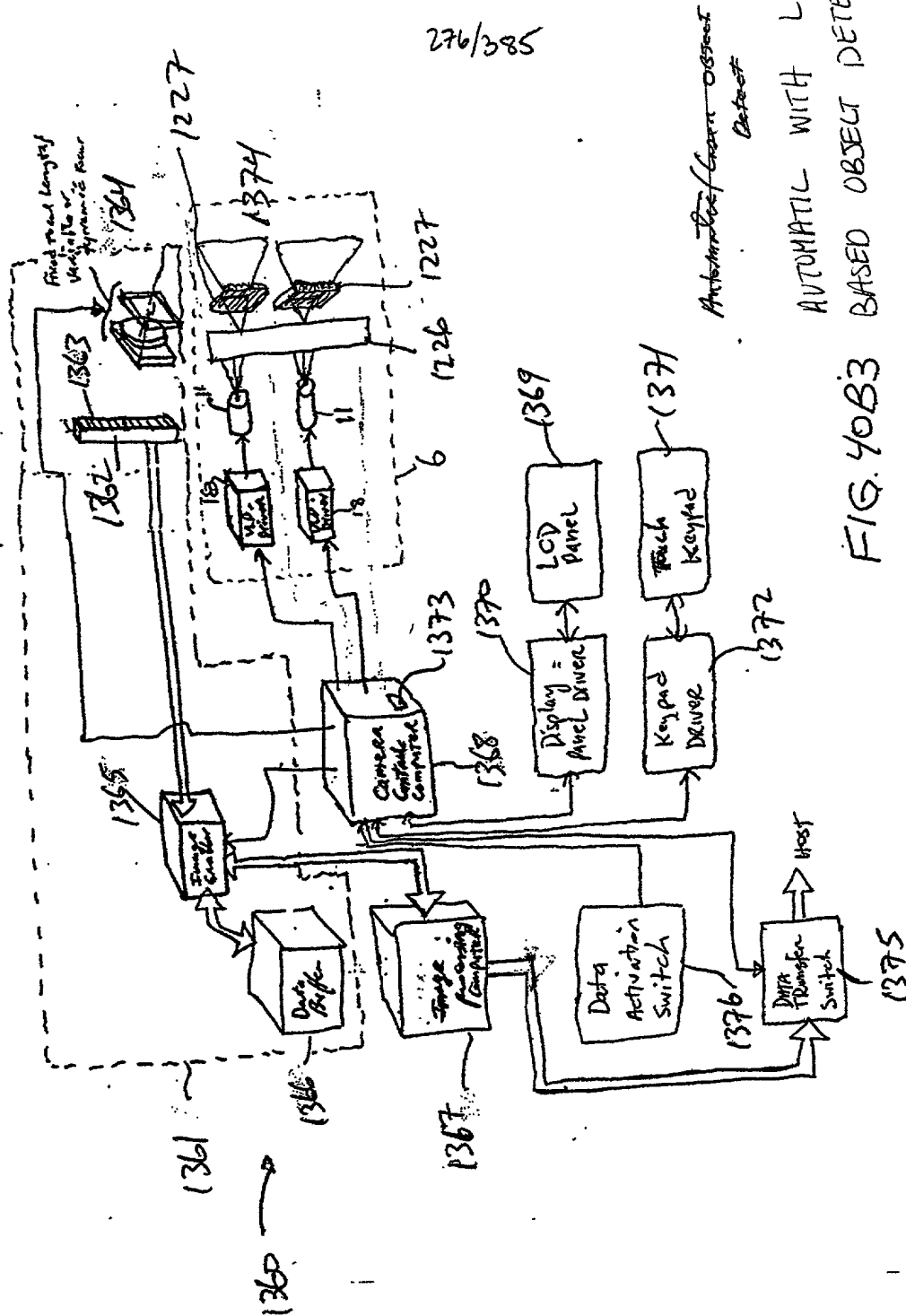
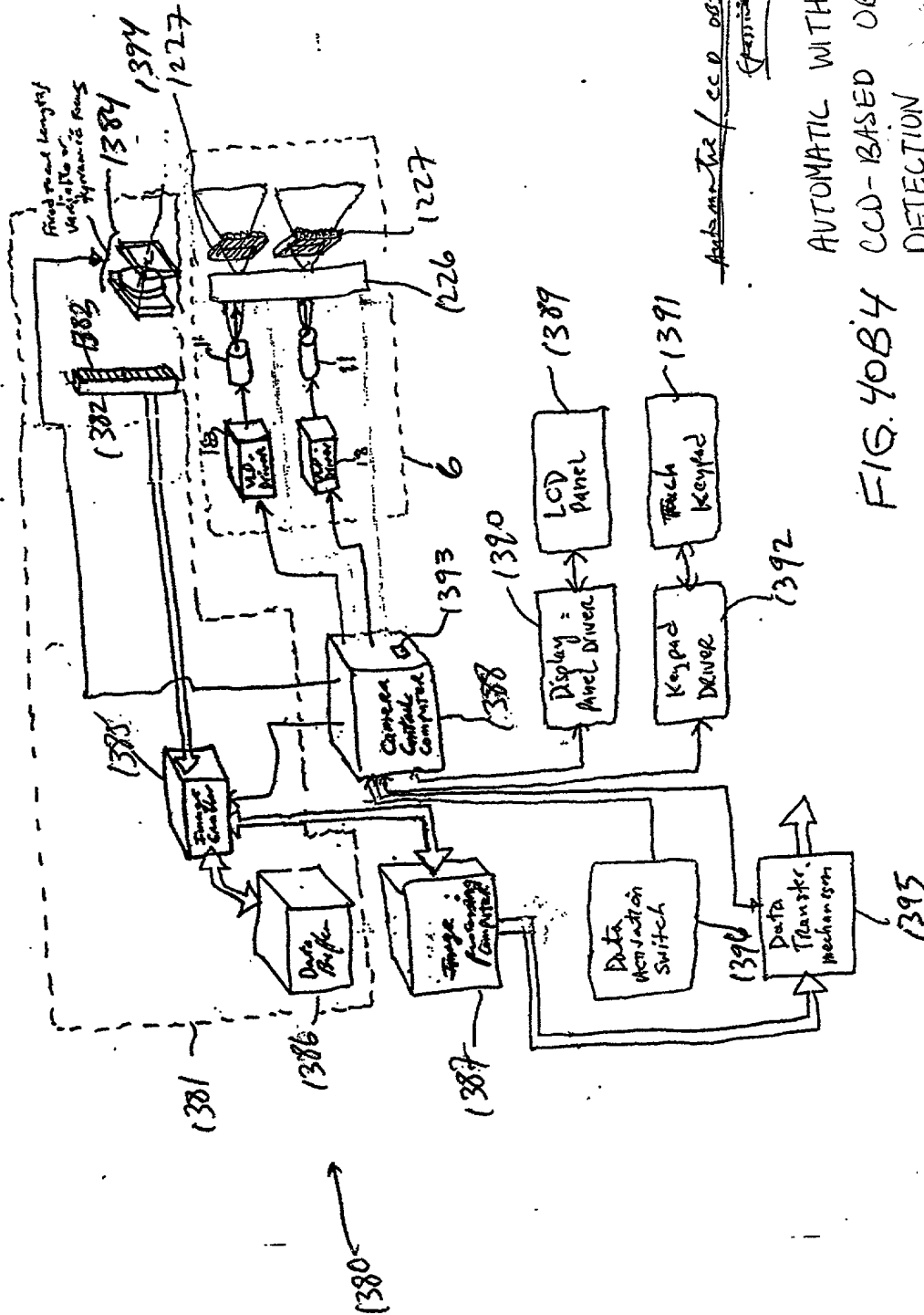


FIG. 40B3 BASED OBJECT DETECTION.

AUTOMATIC WITH LASER



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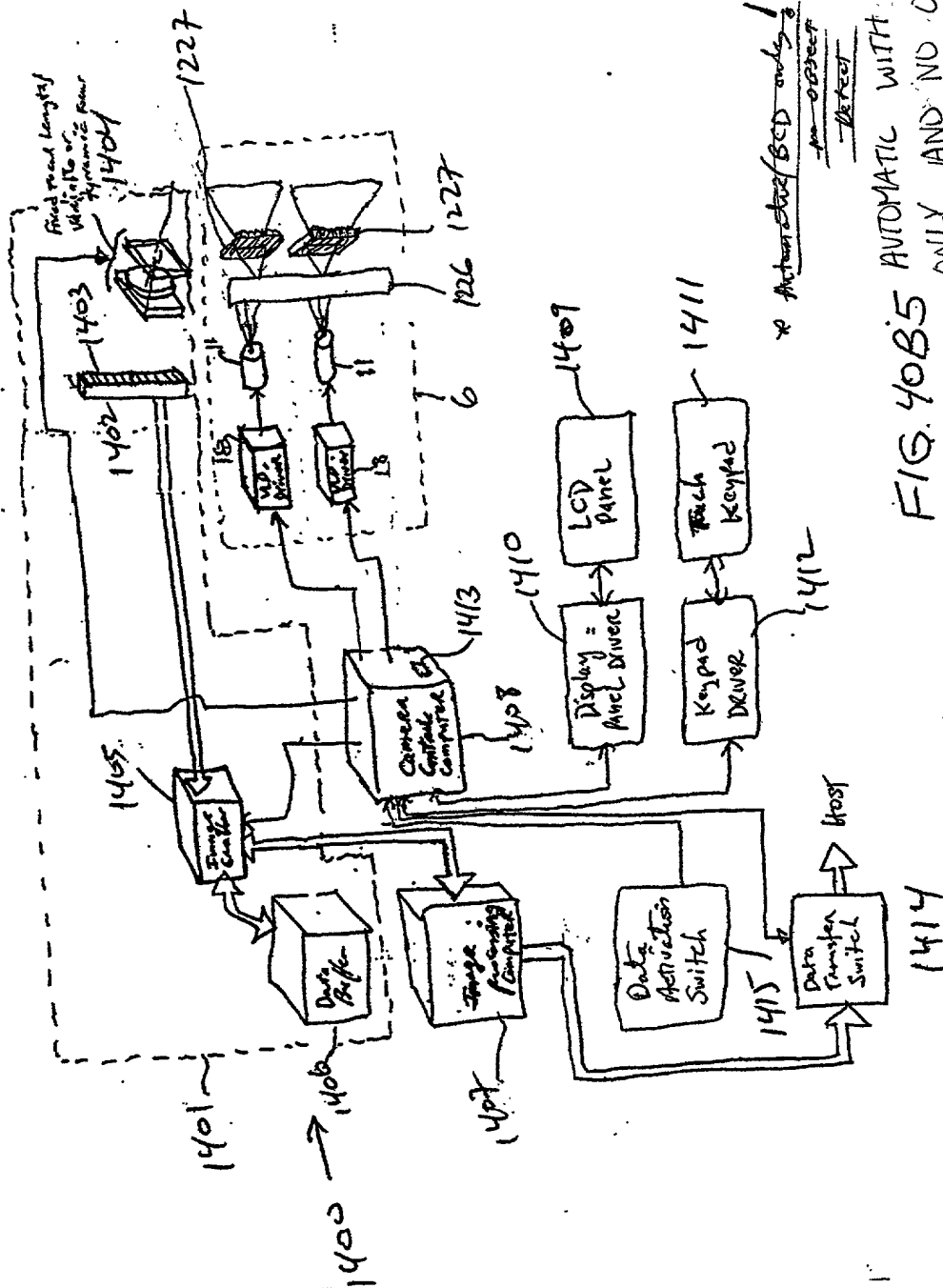
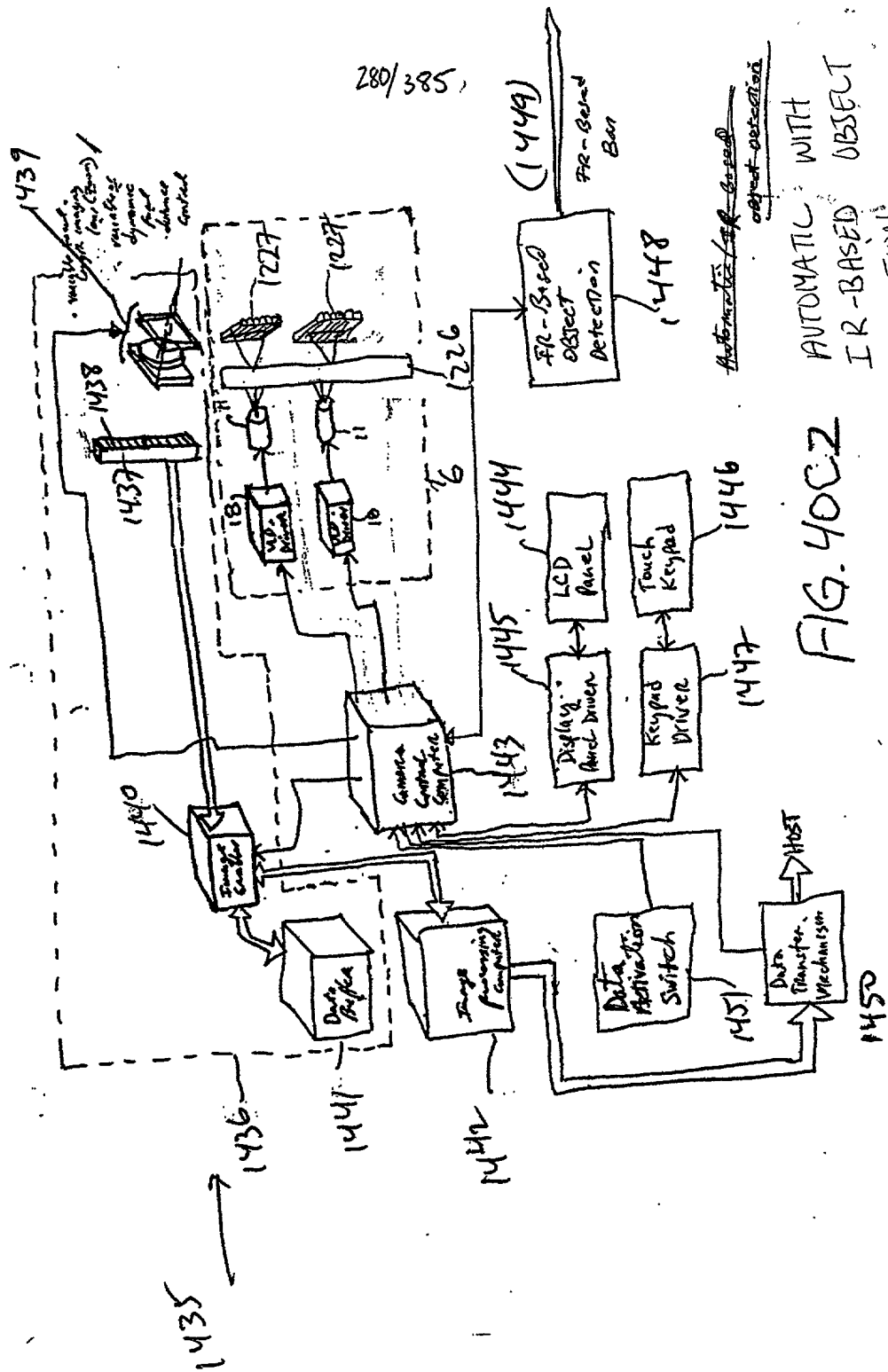
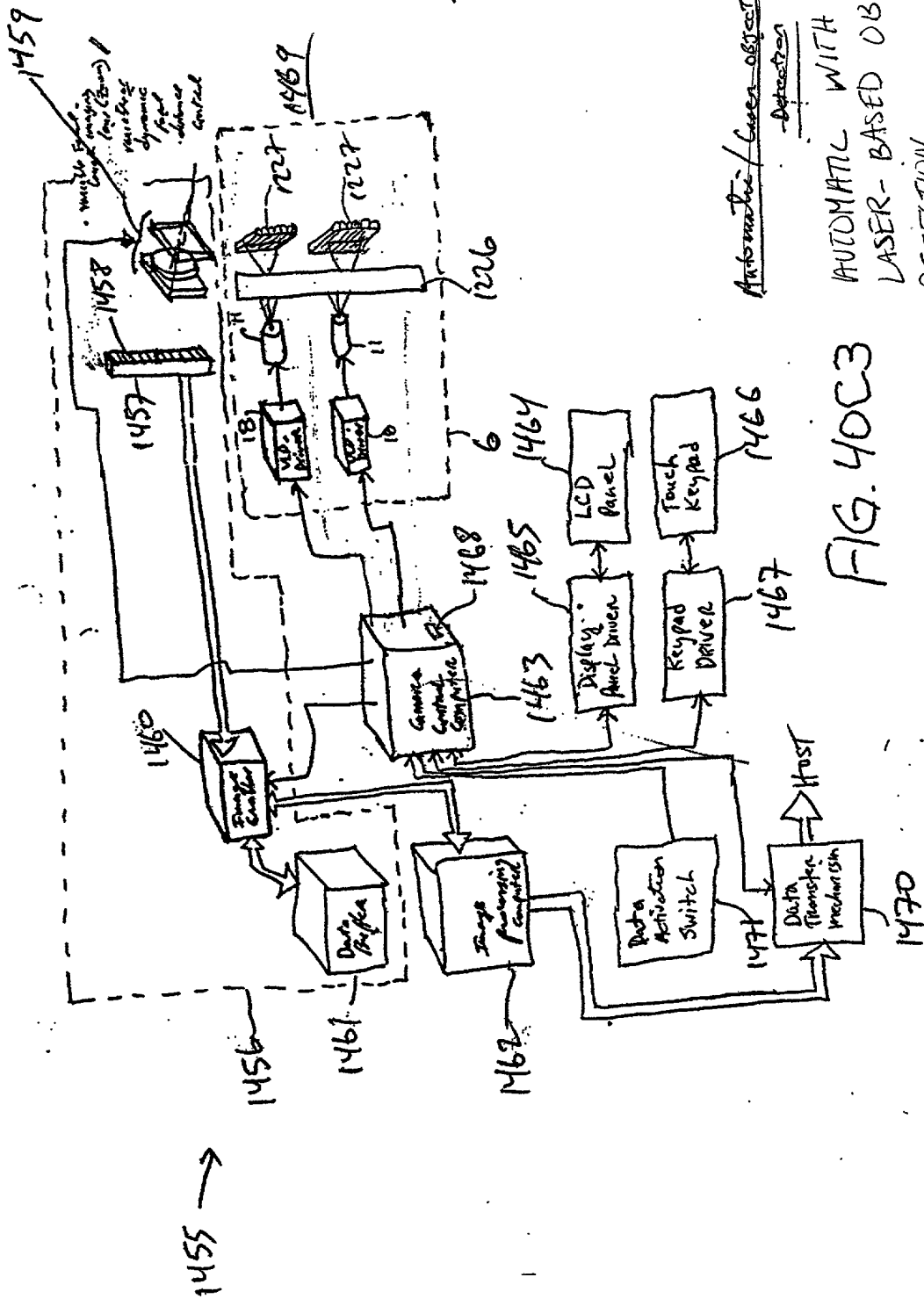


FIG. 40B5



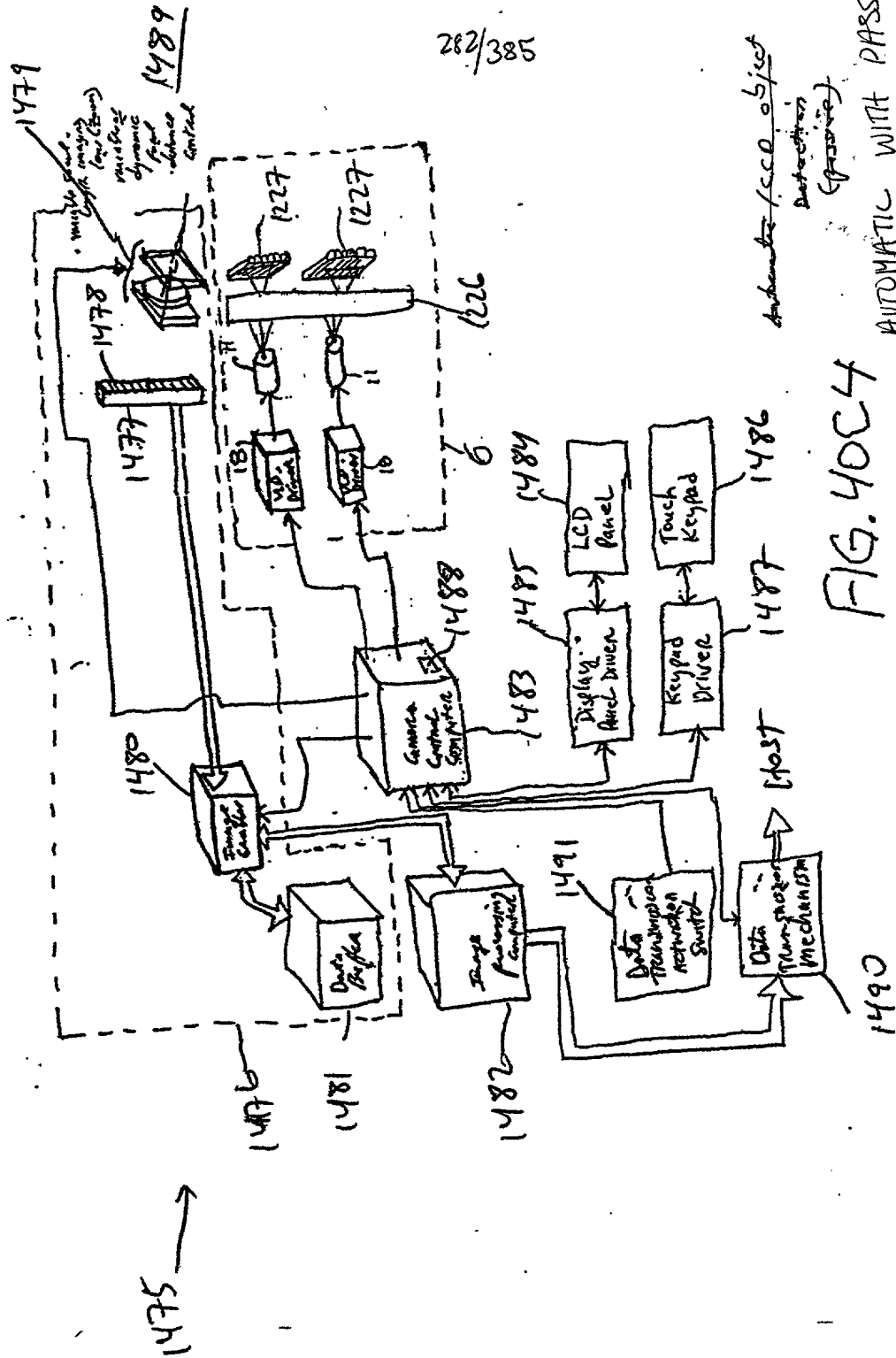
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Automatic/Laser Object  
Detection  
AUTOMATIC WITH  
LASER-BASED OBJECT  
DETECTION

FIG. 40C3

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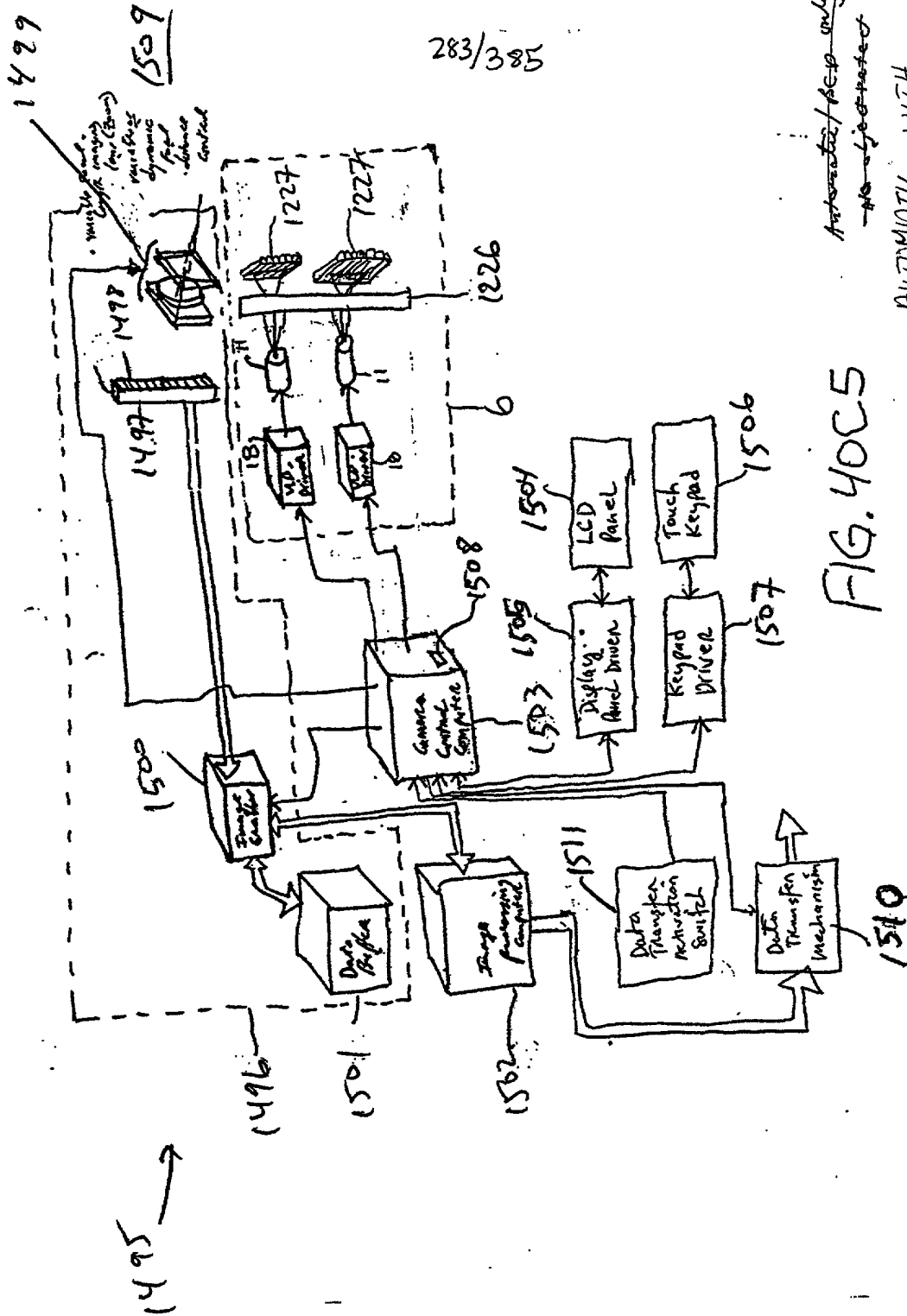
Automatic / CCD object  
detection  
(passive)

AUTOMATIC WITH PASSIVE  
CCD-BASED OBJECT  
DETECTION

FIG. 40C4

1490

283/385



Automated/dep only  
no object

AUTOMATIC WITH  
BCD ONLY AND NO  
OBJECT DETECTION

FIG. 40C5

2021201626001

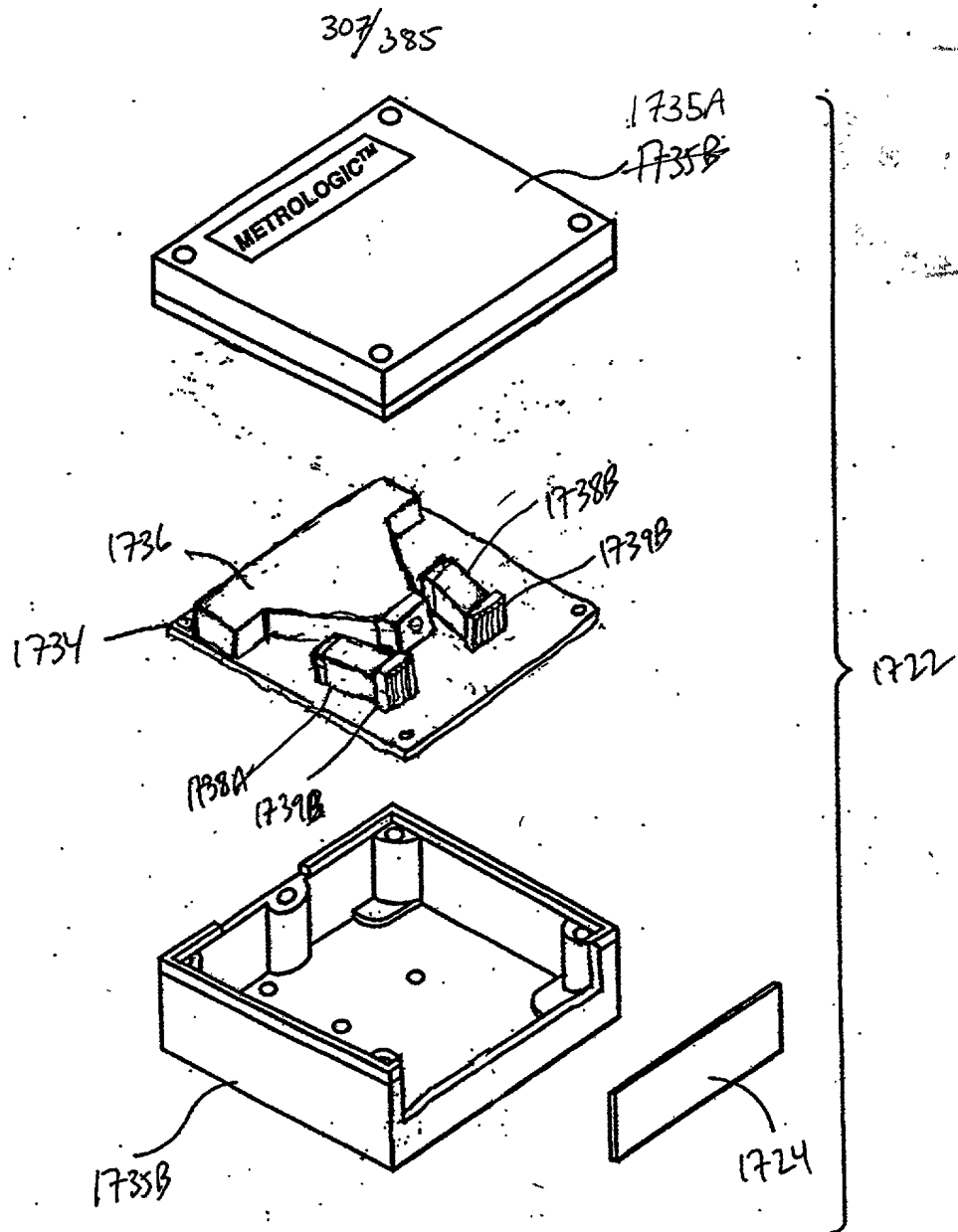


FIG. 48B

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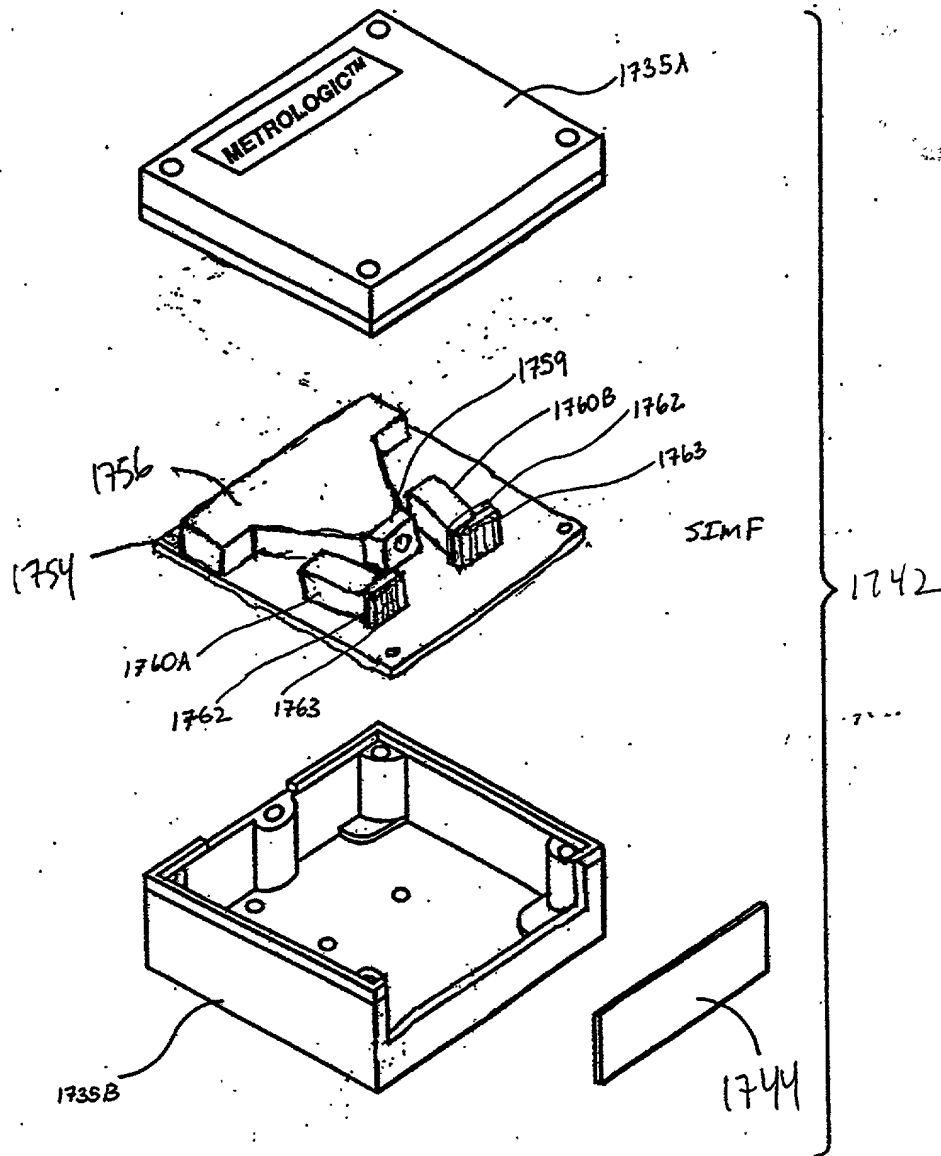
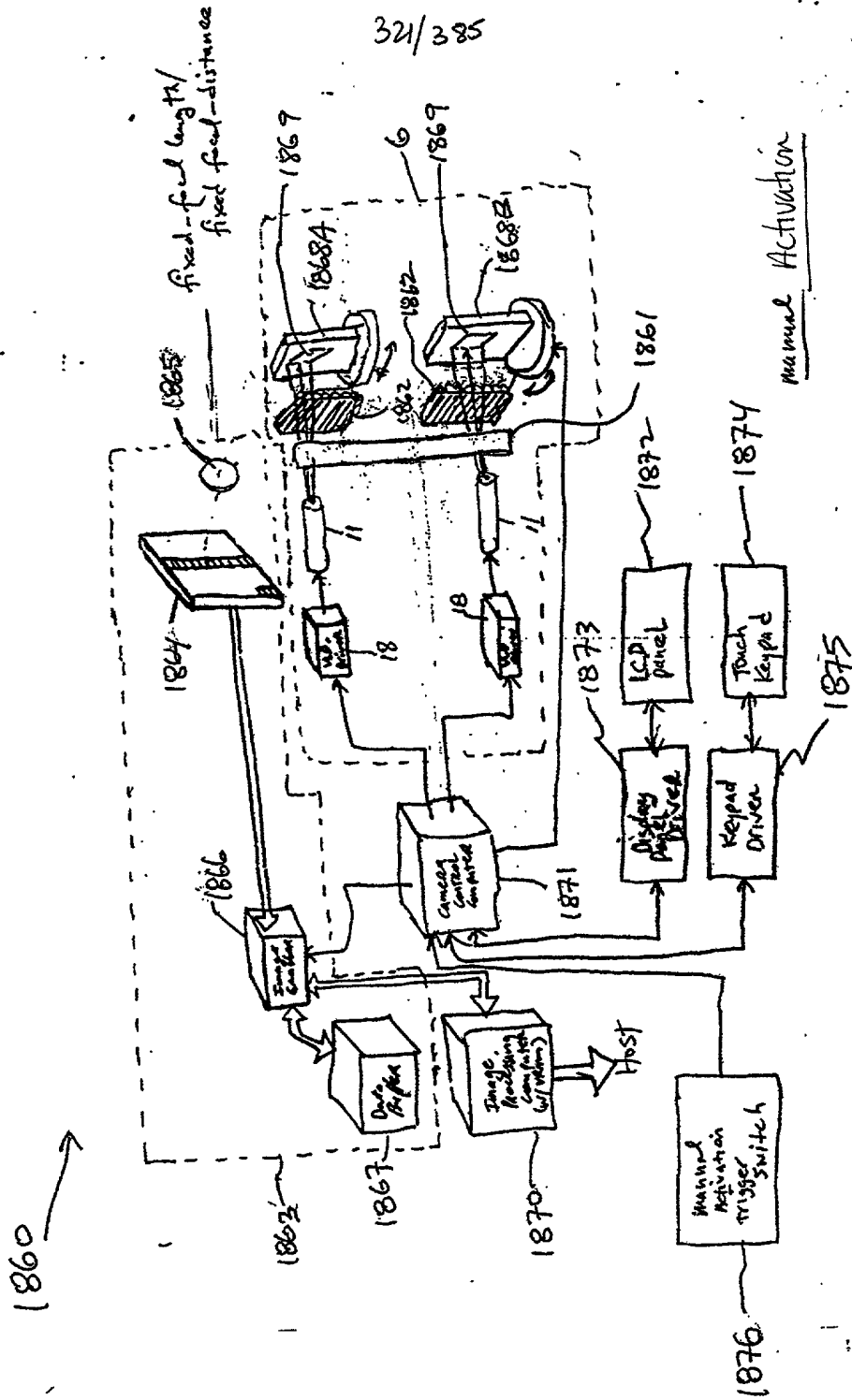


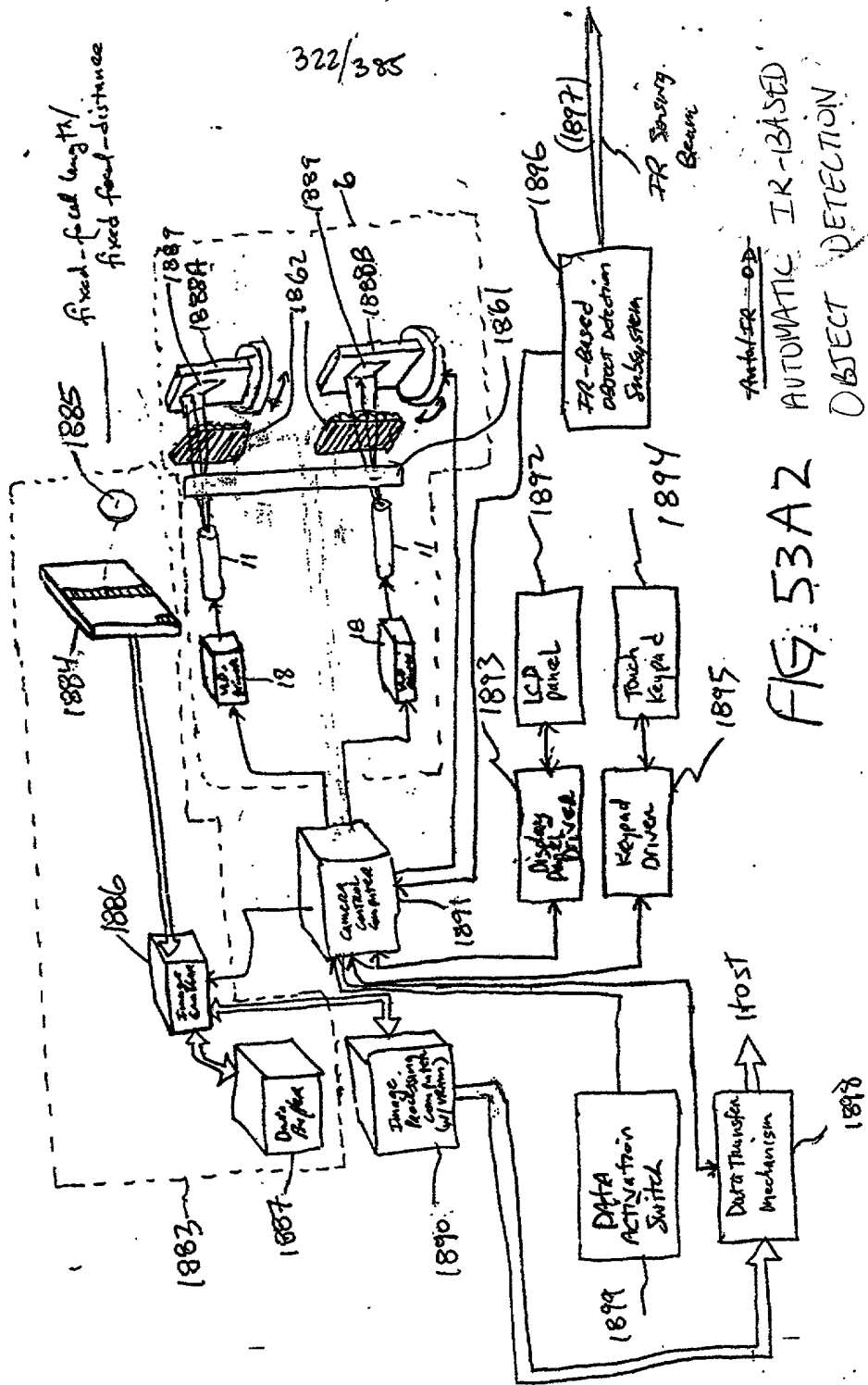
FIG. 49B



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1880



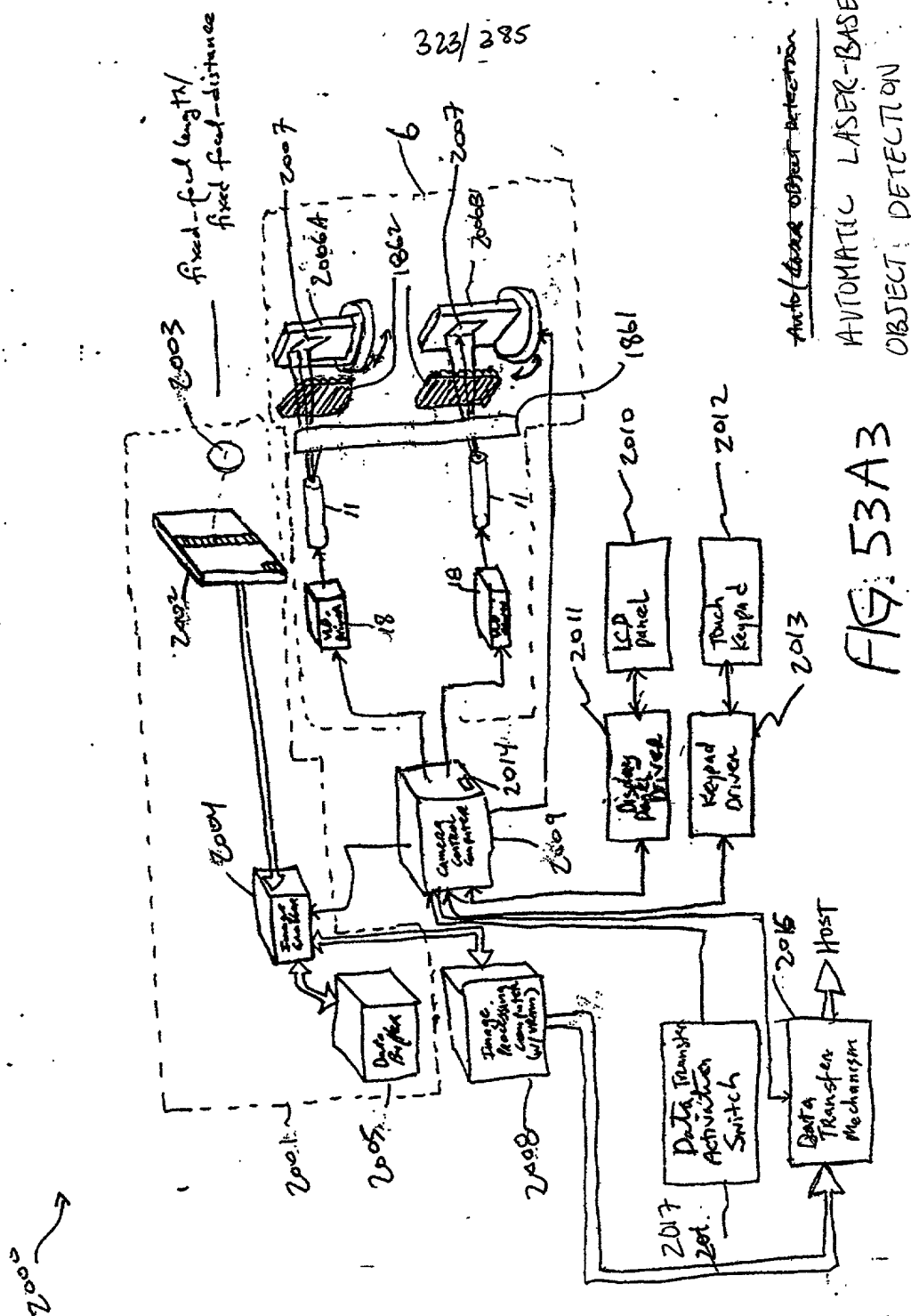
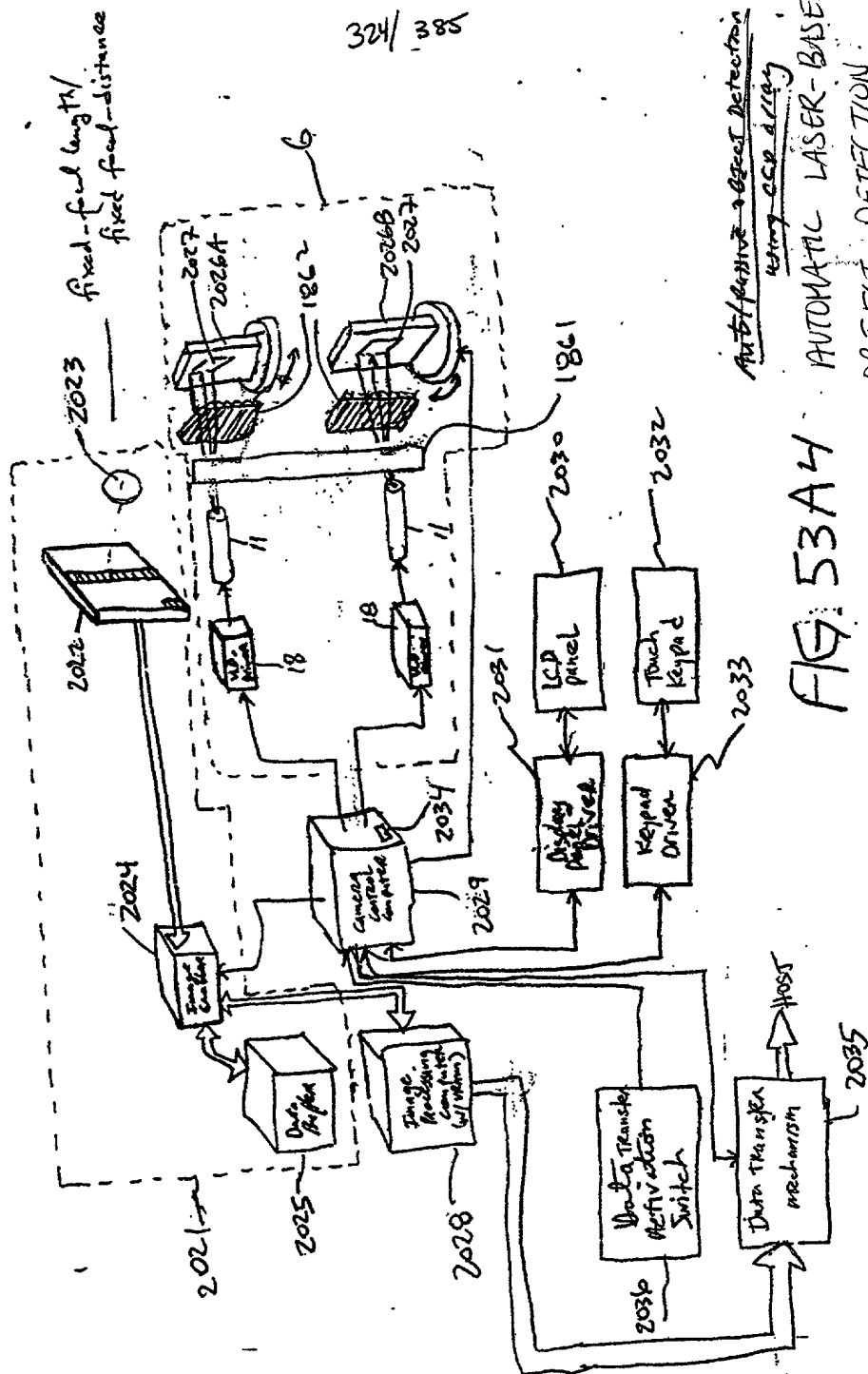


FIG. 53A3

[illegible]

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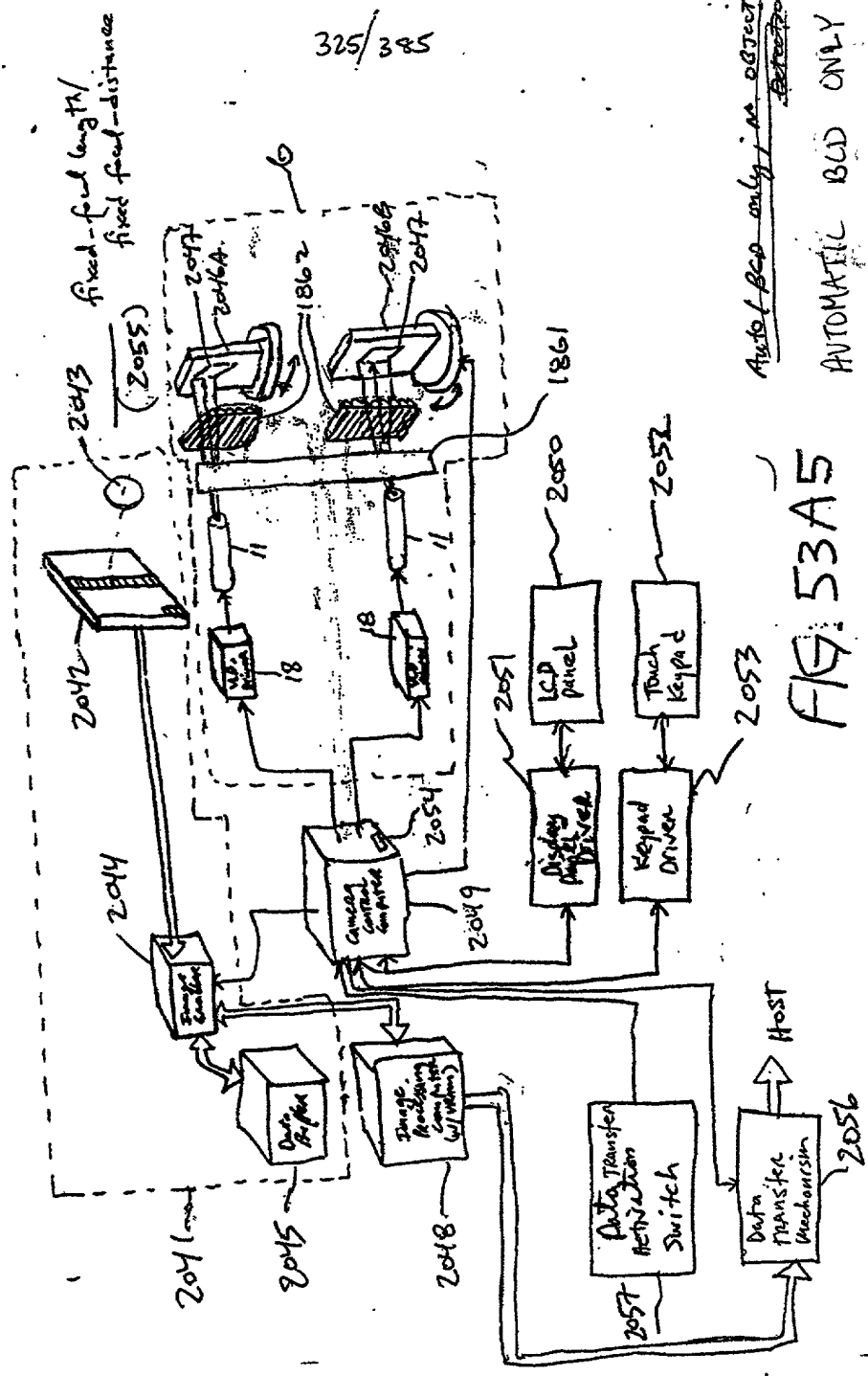
Automatic object detection  
using CCD array

AUTOMATIC LASER-BASED  
OBJECT DETECTION  
USING CCD-ARRAY

FIG. 53A4

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2040



Auto/ Bcd only, as object  
AUTOMATIC BCD ONLY  
WITH NO OBJECT DETECTION

FIG. 53A5

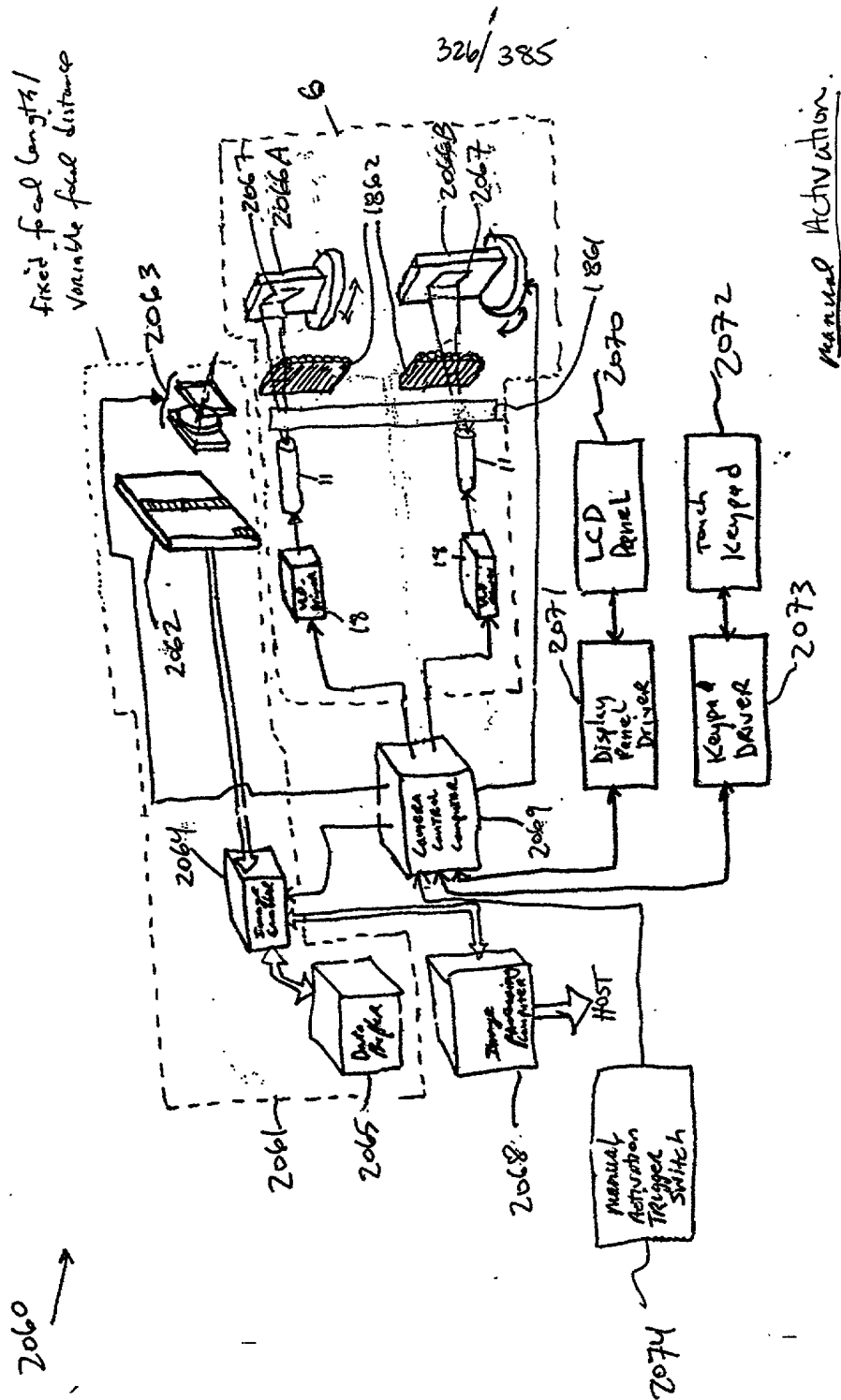
[illegible]

FIG. 53B1

2080

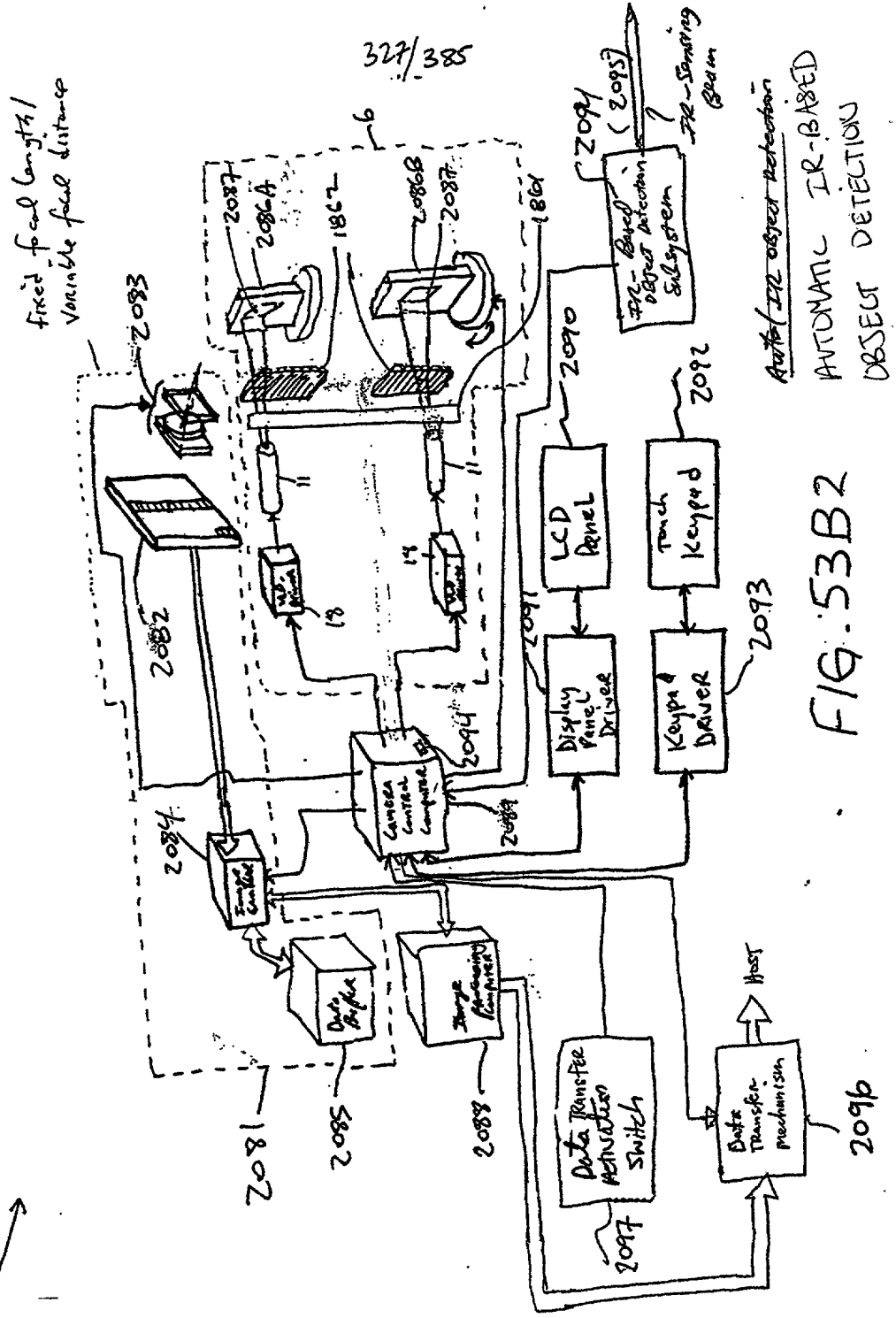
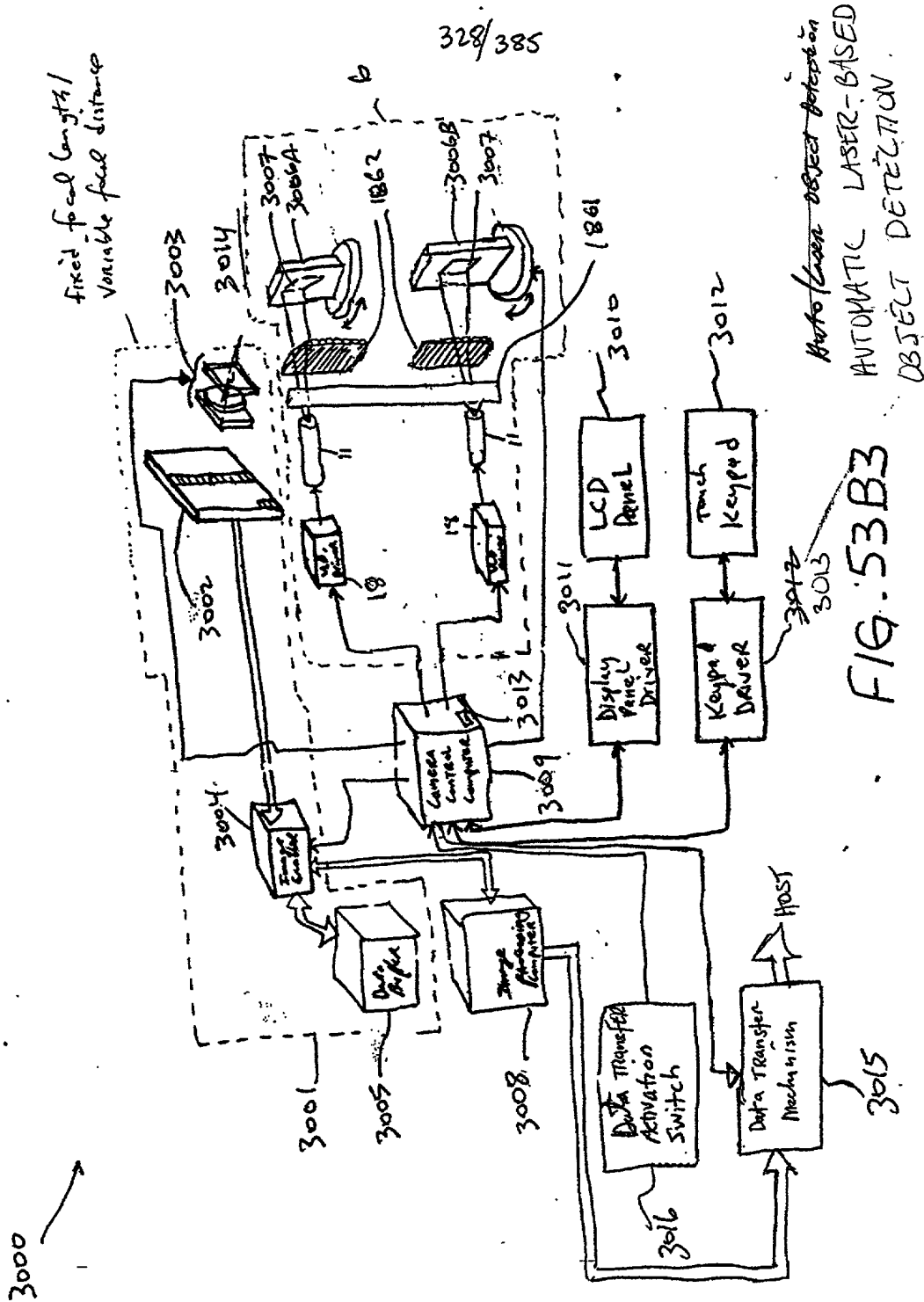


FIG. 53B2

Automatic IR-based  
Object Detection  
System



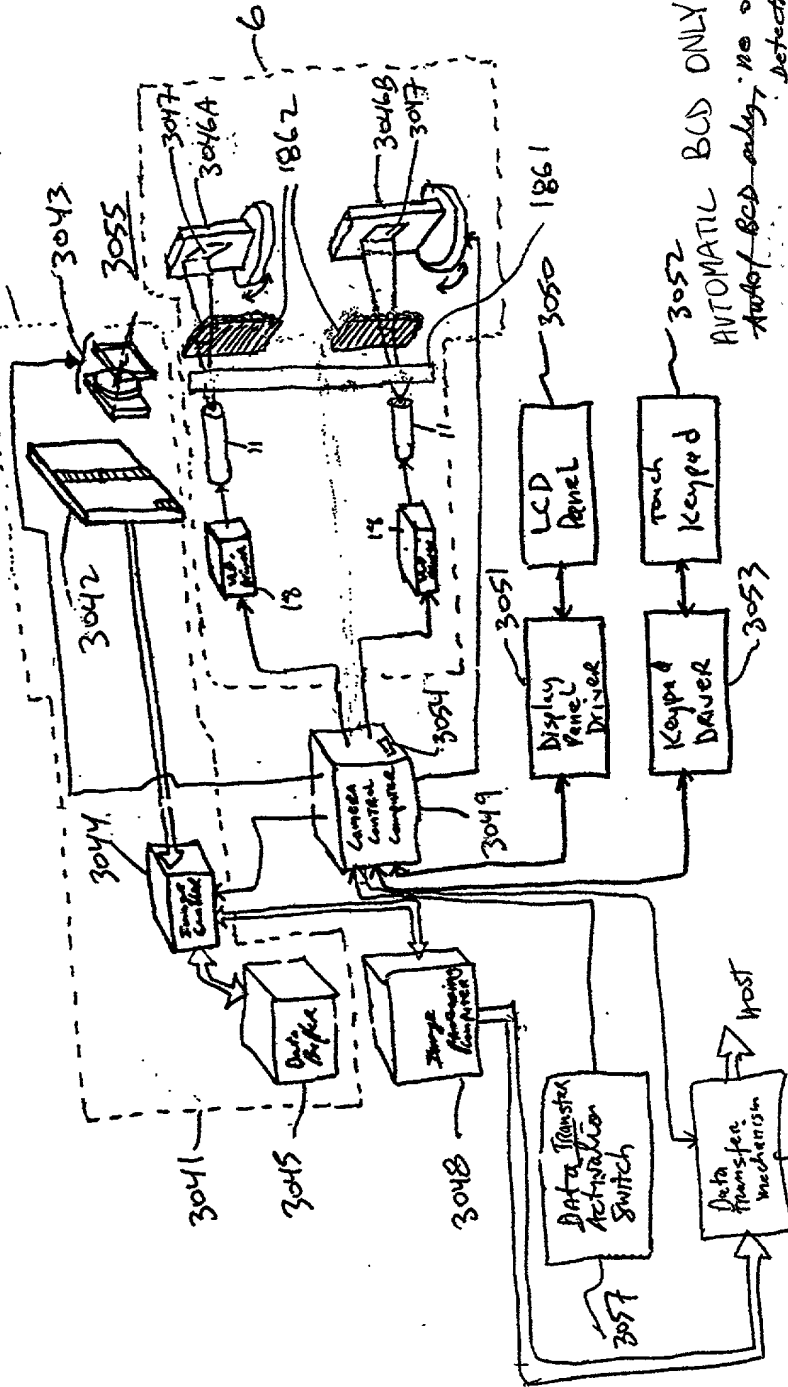


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3040

fixed focal length/  
variable focal distance



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FIG. 53B5

AUTOMATIC BUS ONLY WITH  
AUTO BUS ONLY NO OBJECT  
DETECTION

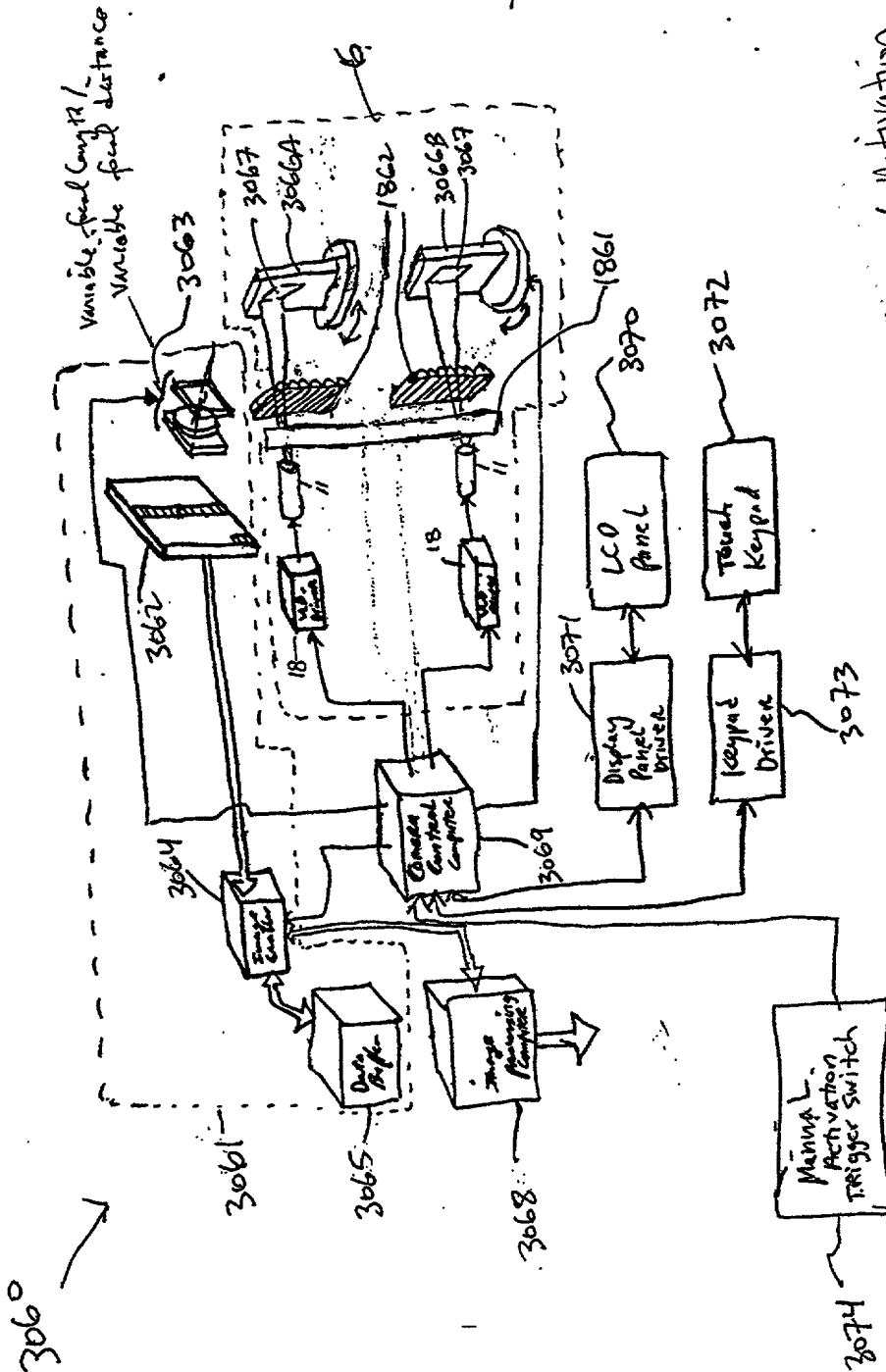
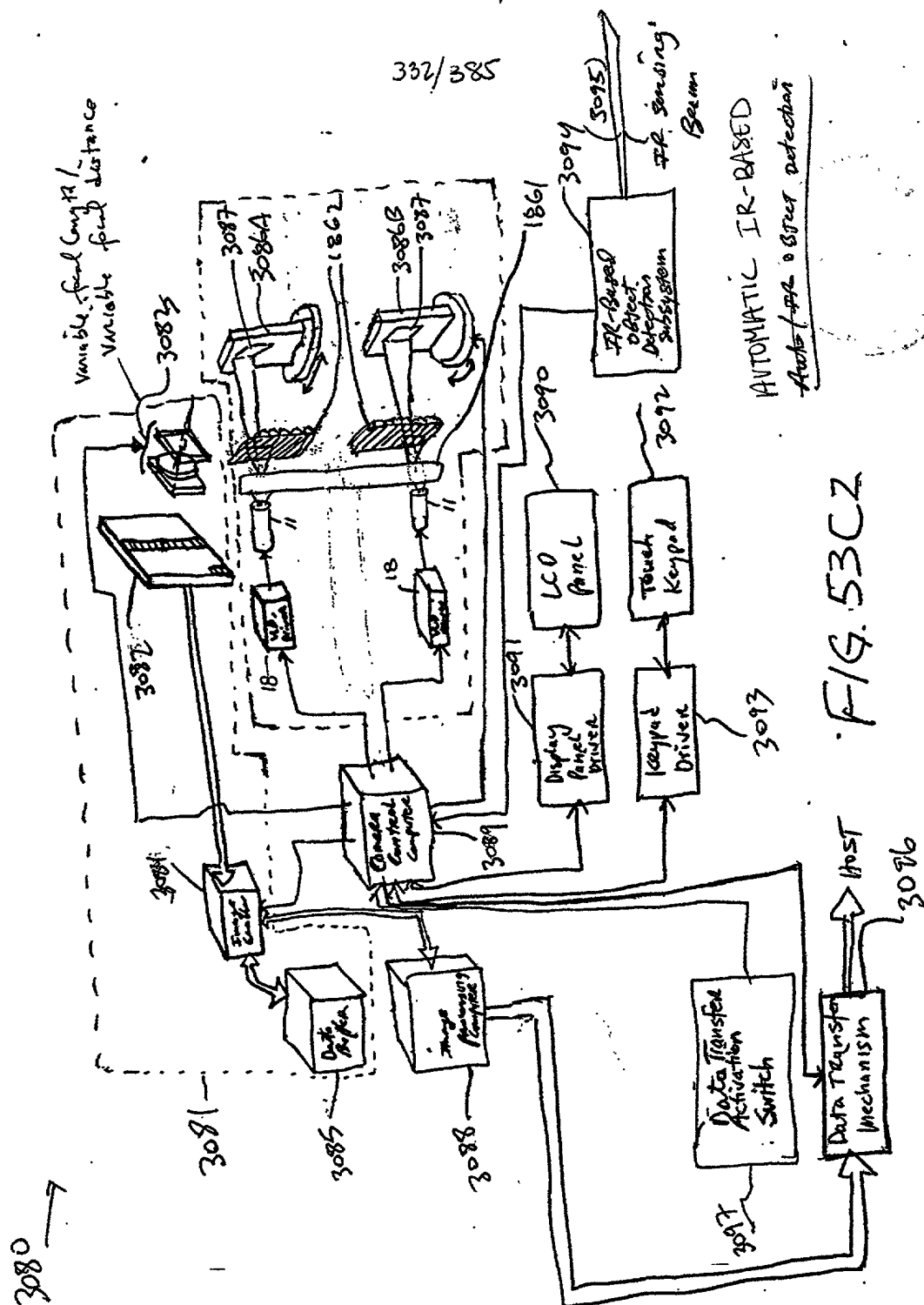
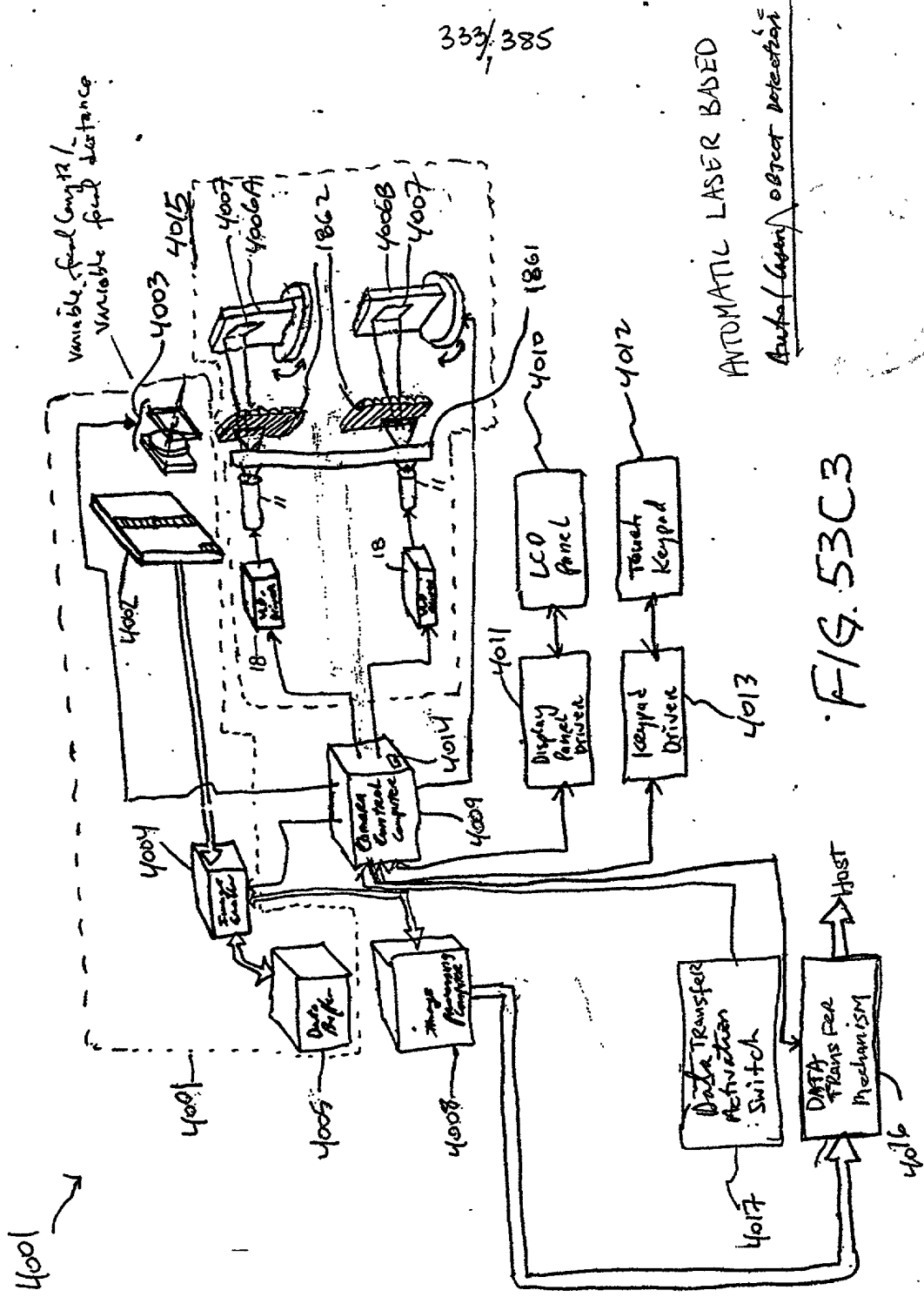


FIG. 53C1



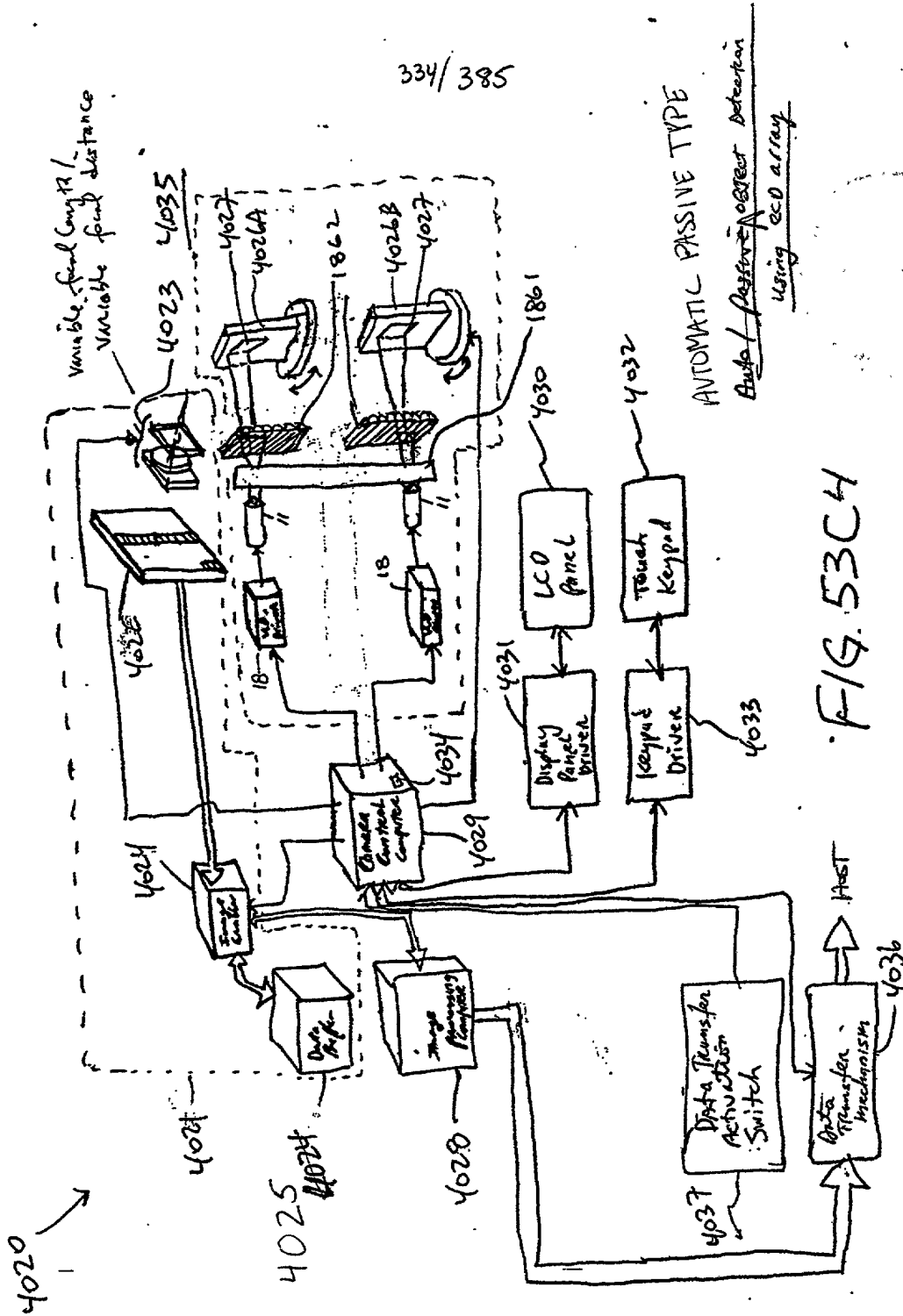
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AUTOMATIC LASER BASED

Auto (Laser) object detection

FIG. 53C3





202140-66616001

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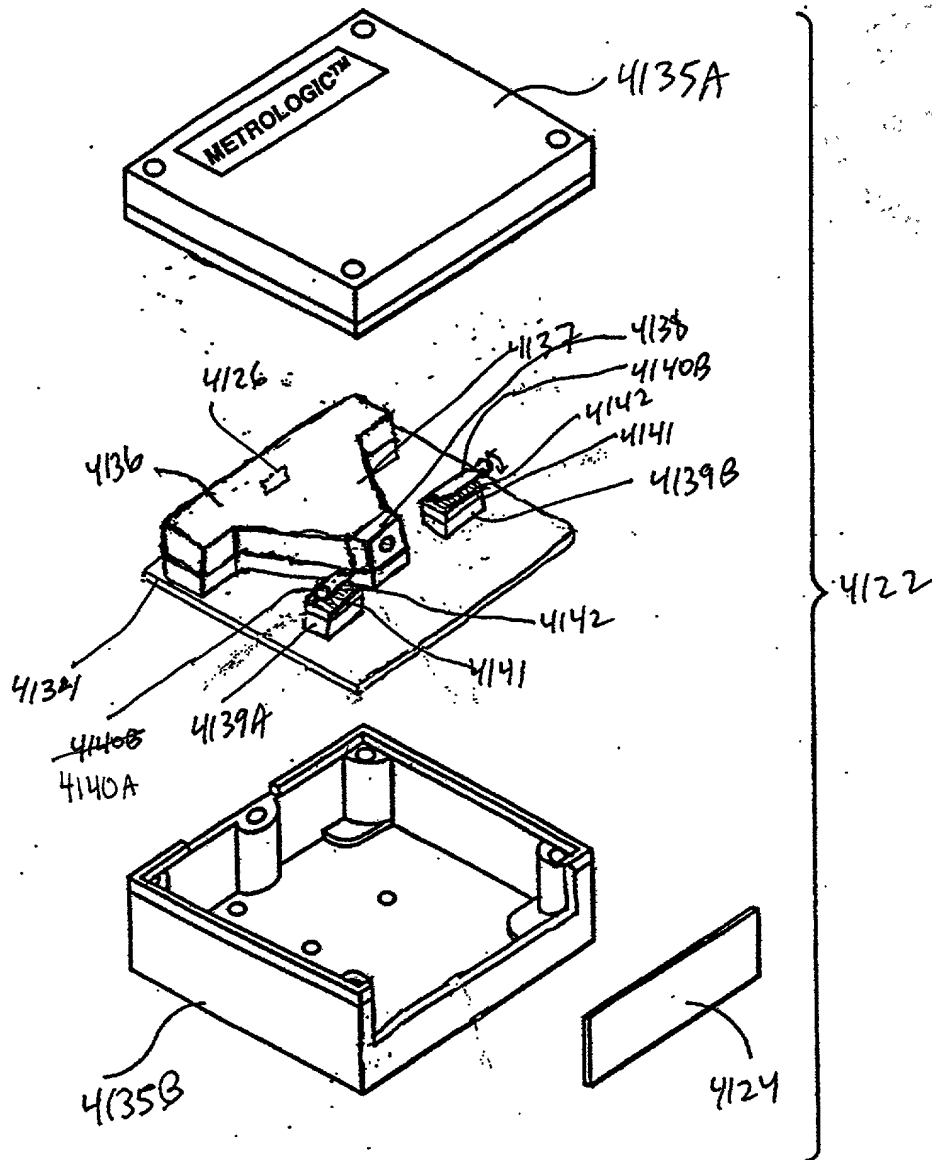


FIG. 56B

DM

Fig. 1I 7A-7C



202120" 666T600T

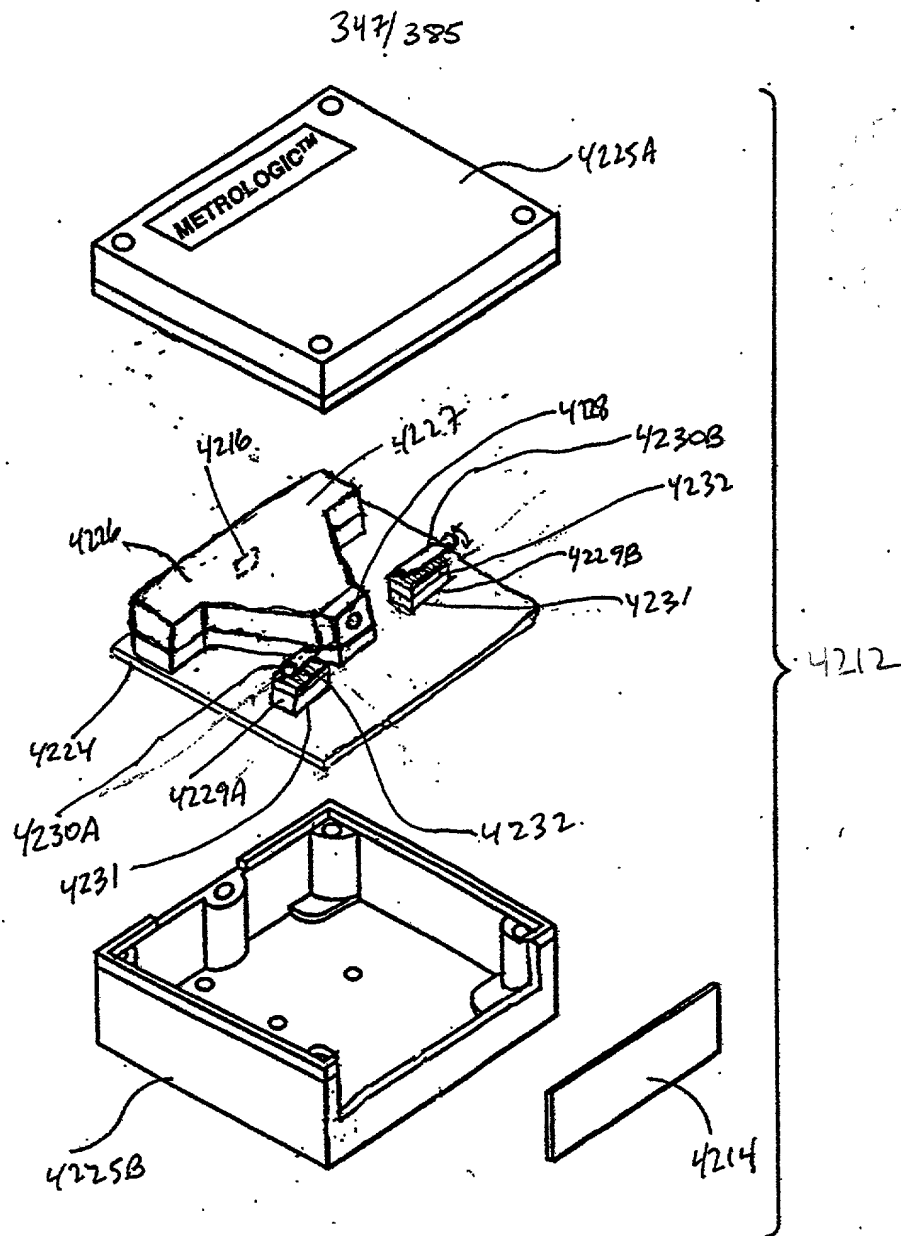


FIG. 59B

INCLD.  
Fig. 1E15A-15B

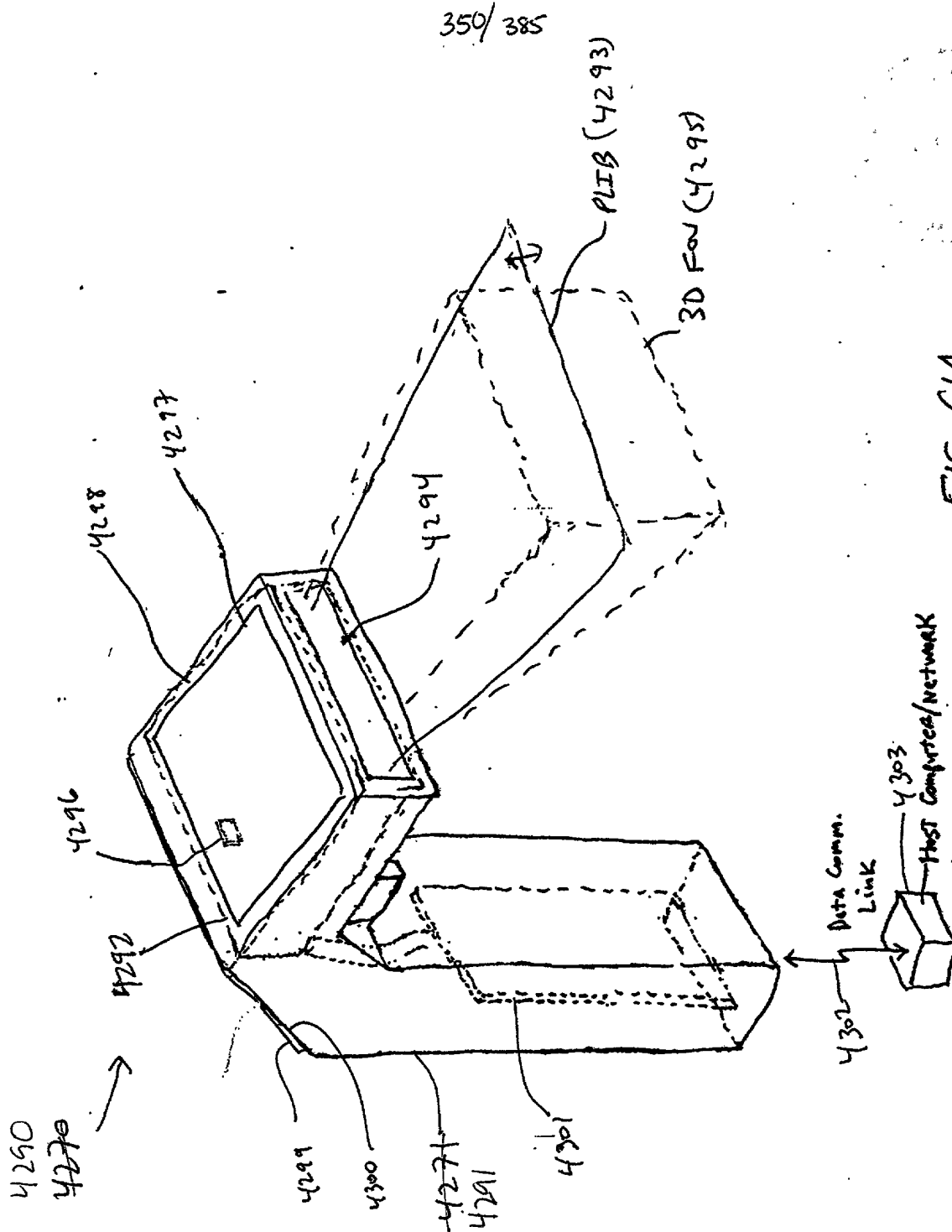


FIG. 61A

202120-666T600T

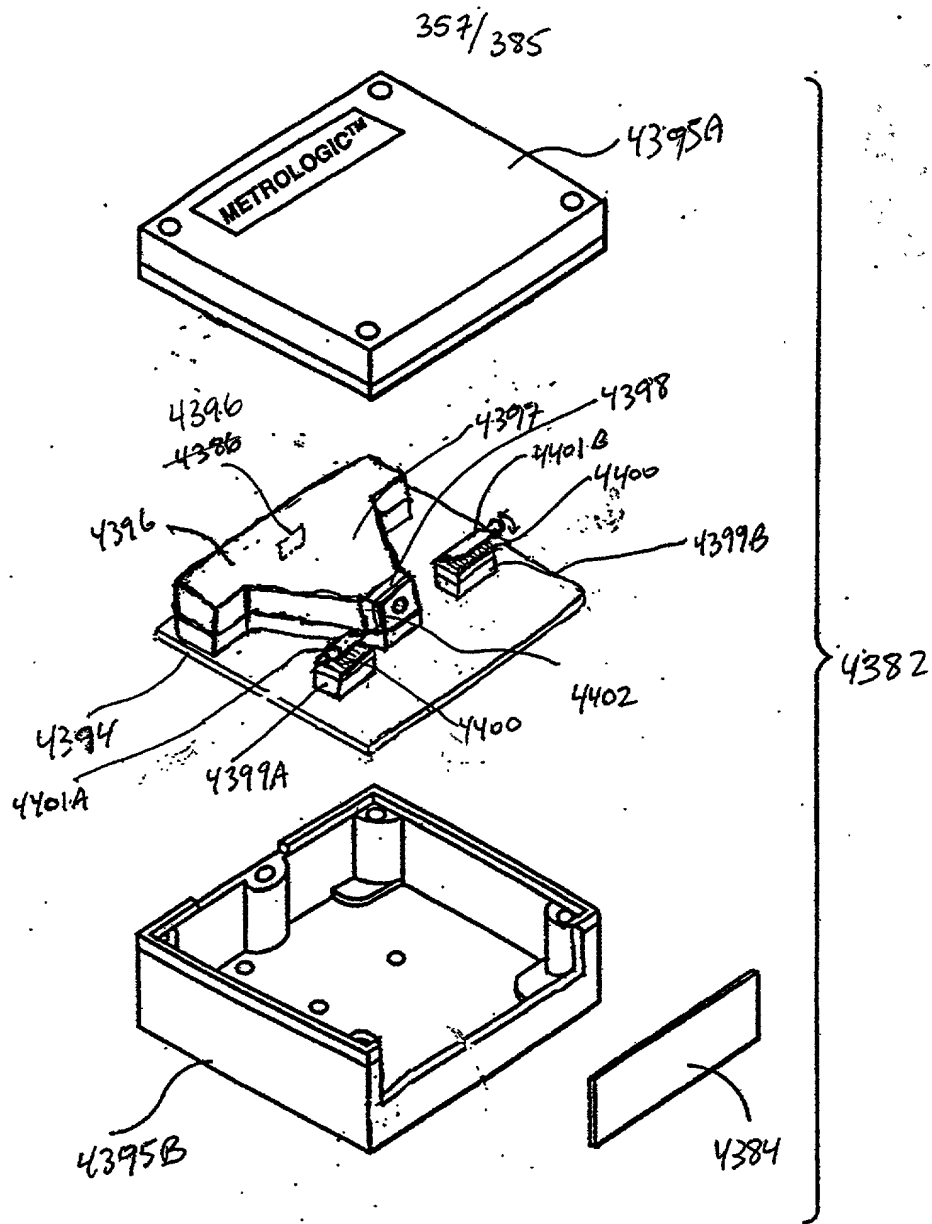
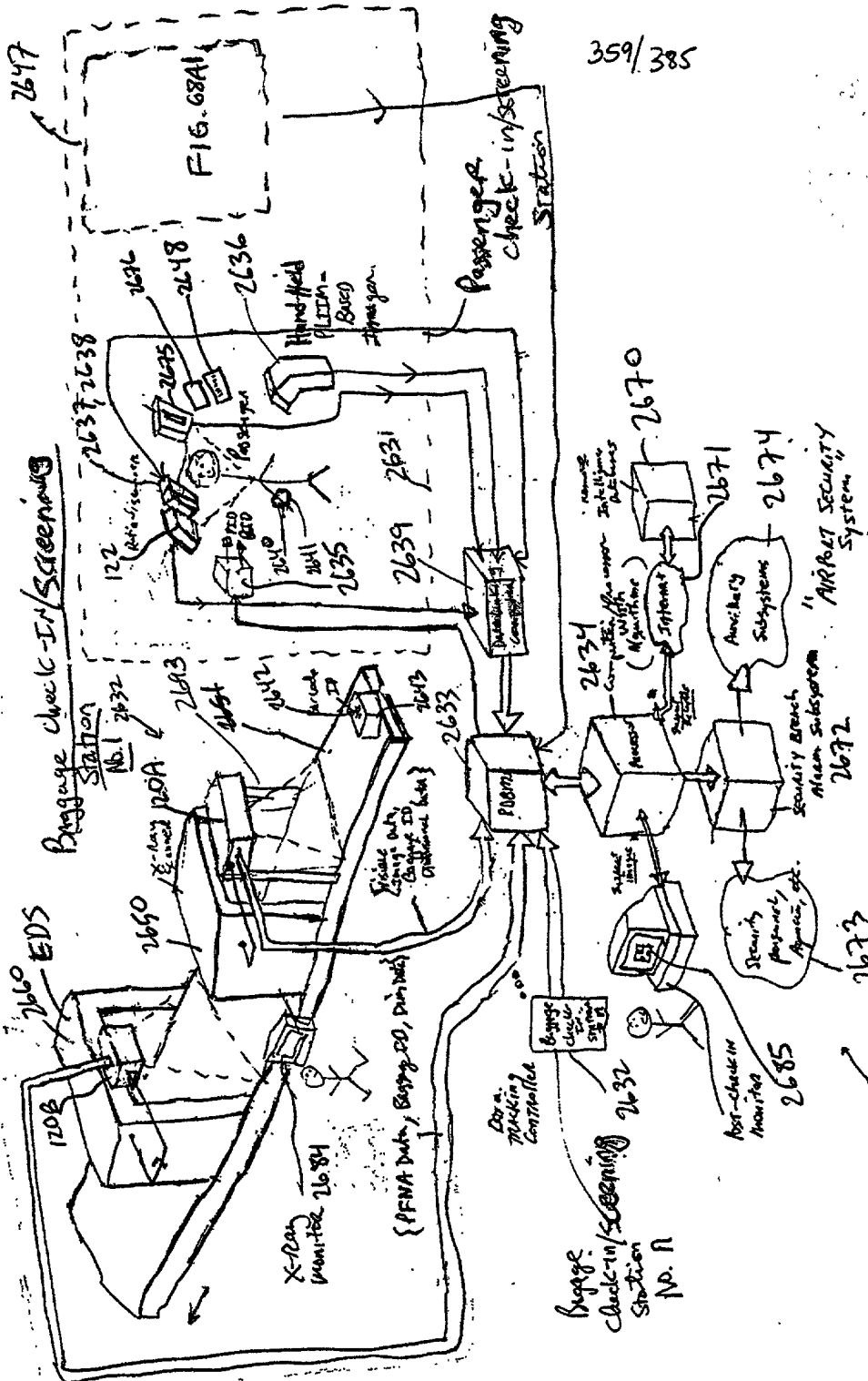


FIG. 64B

\* E-optical  
Shutter Surface  
FP Lens  
Cg-1224A



~~FIG. 68.~~ 68-1 through 68-3

2630

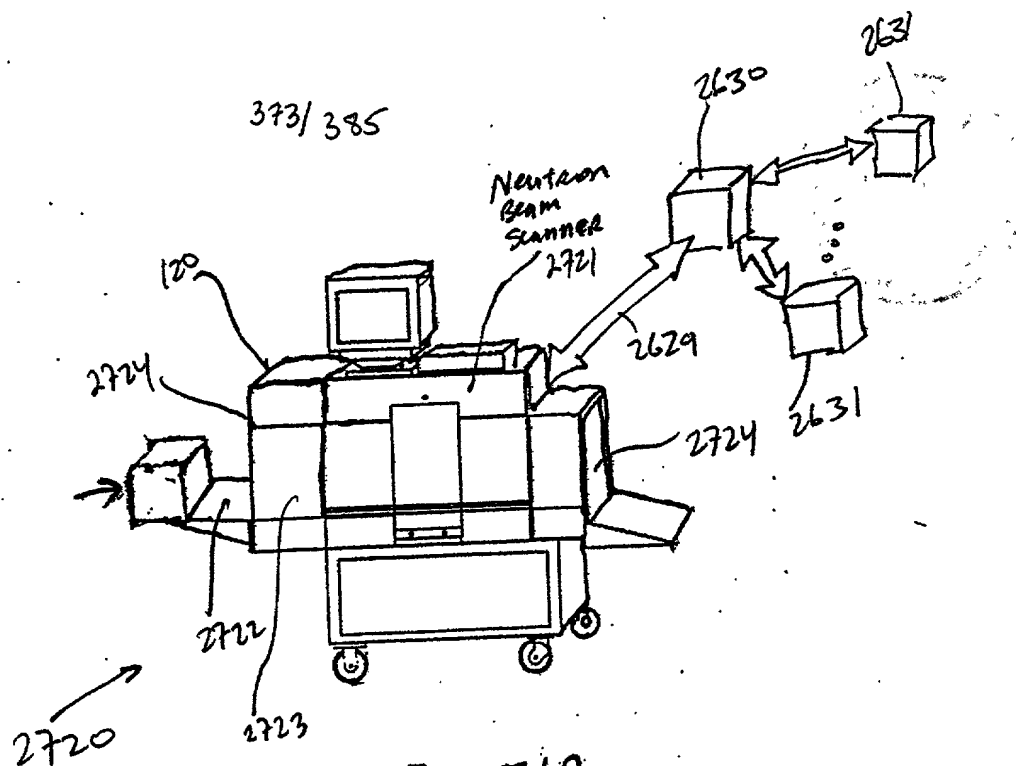


FIG 71A

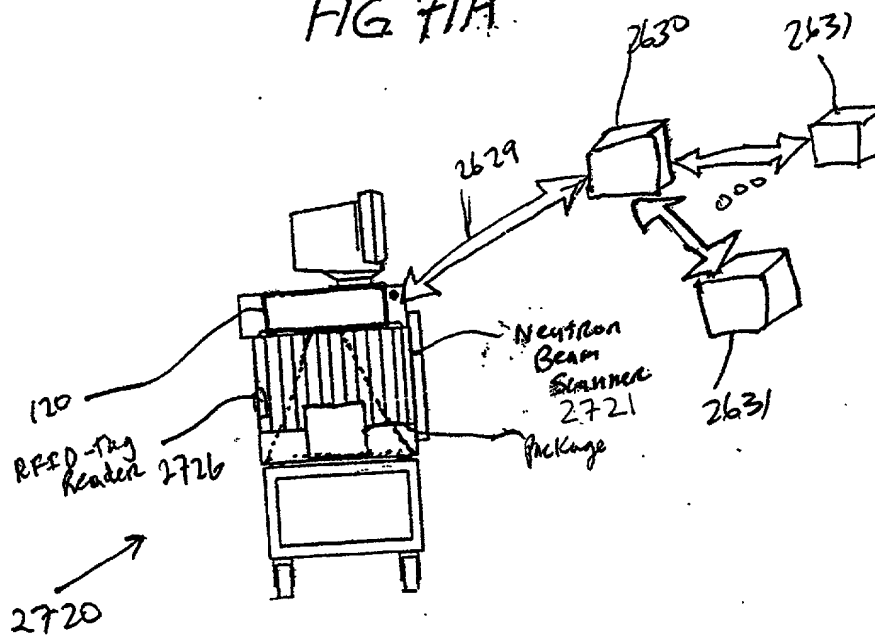


FIG 71B